

**CAAG: Estimated Federal Fiscal Year (FFY) 2012 Fourth Quarter
Surface Transportation Program (STP)* Federal Funding Ledger**
(as of 09/30/12)

CAAG: Estimated Federal Fiscal Year (FFY) 2012 Fourth Quarter Surface Transportation Program (STP)* Federal Funding Ledger (as of 09/30/12)						Apportionments / 2		Obligation Authority (OA) / 3	
Beginning Balance: Carry-Forward from FFY 2011/ 1						6,907,100		6,907,100	
Month	Item/ Project Number	TRACS #	Project Location	Action/ 4	Projected Federal Funds for Current FFY/ 5		Estimated Federal Funds Cumulative Balance		
					Apportionments	OA	Apportionments	OA	
Sep-11	FFY 12 STP Allocation (Public Law 112-33)/ 6				19,111	0	6,926,210	6,907,100	
Oct-11	FFY 12 STP Allocation (Public Law 112-36)/ 7				214,997	234,108	7,141,207	7,141,208	
Nov-11	FFY 12 STP Allocation (Public Law 112-55)/ 8				640,214	640,214	7,781,421	7,781,422	
Nov-11	APJ-0(205)	SS98403D	Ironwood Dr - Broadway Ave to Apache Tr	Auth	(130,000)	(130,000)	7,651,421	7,651,422	
Nov-11	CLG-0(200)	SS87303D	Coolidge Ave - Az Blvd to 1st Street	Mpa	(100,000)	(100,000)	7,551,421	7,551,422	
Nov-11	999-A(362)	PCG1202P	CAAG STP 2012 Regional Traffic Counting	Auth	(50,000)	(50,000)	7,501,421	7,501,422	
Apr-12	094-A(285)	RLTAP17P	Technology Transfer (LTAP)	Auth	(10,000)	(10,000)	7,491,421	7,491,422	
Jun-12	FFY 12 STP Allocation (Public Law 112-55)/ 9				434,772	434,772	7,926,193	7,926,194	
Jul-12	FFY 12 STP Allocation (Public Law 112-55)/ 10				439,550	439,550	8,365,743	8,365,744	
Jul-12	GGI-0(210)	SS98101C	Gila County; Various County Roads	Auth	(179,548)	(179,548)	8,186,195	8,186,196	
			TOTAL		1,279,095	1,279,096	8,186,195	8,186,196	
PROJECTS IN TIP FOR FFY 2012 (NOT OBLIGATED)					Federal Funding		Estimated Balance		
					<i>Apportionment</i>	<i>OA</i>	<i>Apportionments</i>	<i>OA</i>	
	STP-PPN-0(205)T	SS91901C	Hunt Hwy Phase I		(4,378,625)	(4,378,625)	8,186,195	8,186,196	
	STP-PPN-0(208)T	SZ03201C	Maricopa/Casa Grande Hwy		(540,000)	(540,000)			
	STP-GGI-0(210)T	SS98101C	Upgrade to Thermoplastic Striping		(200,000)	(200,000)			
			Subtotal		(4,378,625)	(4,378,625)	\$8,186,195**	\$8,186,195**	

** Ending balance of apportionments and obligation authority lapse on June 30, 2013 if not obligated.

LOAN REPAYMENT SCHEDULE				Federal Funding	
Due	To	From	Project/Purpose	Apportionment	OA
				-	-
			Subtotal	0	0

Notes:

- 1/ Beginning Balances of Apportionments and OA may not match. See notes 2 and 3.
- 2/ Apportionments represent the amount of federal funding based on formula. It is not unusual for apportionments to exceed OA, resulting in excess apportionments that may not be obligated. Over the multi-year life of the federal transportation act, apportionments may accumulate but cannot be utilized unless Congress has approved a matching amount of OA. There is no guarantee Congress will provide the necessary OA needed to fully utilize apportionments. See notes 3 and 5.
- 3/ Obligation Authority is the amount of authorized apportionments which Congress allows states to obligate in an individual year. This is the amount the federal government will reimburse.
- 4/ Action Abbreviations: AUTH = New Authorization; CONV = Conversion from Advanced Construction; FV = Final Voucher; MPA = Modified Project Agreement.
- 5/ The ratio of apportionments to OA is historically approximately 90 - 95%. Due to lack of a long-term program authorization and because OA is being made available by Congress incrementally through multiple Continuing Resolutions, the ratio for FFY 12 is not yet known. Therefore, ADOT is crediting ledgers with OA at 100% in FFY 12.
- 6/ through 10/ See attached table regarding FFY 12 Continuing Resolutions, Apportionments and Obligation Authority.
- 11/ As a result of ADOT crediting OA at 100% in FFY12, CAAG has benefitted from an additional \$122,405 in OA. See circled amount on the attached table.

Final Estimates

* STP funding is distributed to Arizona's rural COGs and MPOs based on the percent of the state's total population (according to the 2000 Census) which each represents. See http://www.azdot.gov/Inside_ADOT/FMS/PDF/STP%20Greater%20AZ.pdf. If applicable, this figure represents the sum of all sub-allocated funds.

Federal Fiscal Year 12
**Apportionment and Obligation Authority Increments
 by Continuing Resolution/Authorization Act**

CAAG										
Annual Allocation										\$1,748,643
Daily Amount of Allocation										\$ 4,777.71
Note*	Continuing Resolution/ Public Law	Apportionments Authorized Through	# of days of Apportionments	Incremental Amount of Apportionments per CR**	Obligation Authority (OA) Authorized Through	# of days of OA	Incremental OA (100%) per CR**	OA at 93%	Benefit of 100% OA Credit	
6	112-33	10/4/11	4	\$ 19,110.85	NA	0	\$ -	\$ -	\$ -	
7	112-36	11/18/11	45	\$ 214,997.09	11/18/11	49	\$ 234,108	\$ 217,720	\$ 16,388	
8	112-55	3/31/12	134	\$ 640,213.56	3/31/12	134	\$ 640,214	\$ 595,399	\$ 44,815	
9	112-55	6/30/12	91	\$ 434,771.89	6/30/12	91	\$ 434,772	\$ 404,338	\$ 30,434	
10	112-55	9/30/12	92	\$ 439,549.61	6/30/12	92	\$ 439,550	\$ 408,781	\$ 30,768	
				\$ 1,748,643.00	Total		\$ 1,748,643	\$ 1,626,238	\$ 122,405	

* Note number refers to footnotes on the Surface Transportation Program (STP) ledger.

** Amounts are estimated based on data released by the Federal Highway Administration (FHWA) in the notices related to each continuing resolution or public law.