

| <b>PAG: Estimated Federal Fiscal Year (FFY) 2010 Fourth Quarter<br/>Surface Transportation Program (STP) Federal Funding Ledger</b><br>(as of 09/30/10) |   |              |                                    |            |      |   | Surface<br>Transportation<br>Funds (STP) | State Planning<br>and Research/<br>Metro Planning<br>(SPR/PL) | Apportionments / 2 | Obligation Authority<br>(OA) / 3              |            |
|---|---|--------------|------------------------------------|------------|------|---|--|---|--------------------|---|------------|
| Beginning Balance: Carry-Forward from FFY 2009/ 1   |   |              |                                    |            |      |   | 0  | 0   | 0                  | 16,251,121                                    |            |
| Date  | Item/<br>Project Number   | TRACS #      | Project Location                   | Action / 4 | Appn | Projected Federal Funds<br>for Current FFY/ 5 |  | STP   | SPR/PL             | Estimated Federal Funds<br>Cumulative Balance |            |
|   |   |              |                                    |            |      | Apportionments                                | OA                                       |   |                    | Apportionments                                | OA         |
| Oct-09  | CONTINUING RESOLUTION - FFY 10 Allocation (FHWA NOTICE-FY2010-N4510.713)/ 6     |              |                                    |            |      | 1,252,673                                     | 1,252,673                                | 1,155,948   | 96,725             | 1,252,673                                     | 17,503,794 |
| Nov-09  | CONTINUING RESOLUTION - FFY 10 Allocation (FHWA NOTICE-FY2010-N4510.715)/ 6     |              |                                    |            |      | 1,939,643                                     | 1,939,643                                | 1,789,872   | 149,771            | 3,192,316                                     | 19,443,437 |
| Dec-09  | CONTINUING RESOLUTION - FFY 10 Allocation (FHWA NOTICE-FY2010-N4510.717)/ 6     |              |                                    |            |      | 2,902,837                                     | 2,902,837                                | 2,678,187   | 224,650            | 6,095,153                                     | 22,346,274 |
| Mar-10  | CONTINUING RESOLUTION - FFY 10 Allocation (FHWA NOTICE-FY2010-N4510.722)/ 6     |              |                                    |            |      | 1,130,155                                     | 1,130,155                                | 1,042,853   | 87,302             | 7,225,308                                     | 23,476,429 |
| Dec-09  | TUC-0(038)  | SS46601C     | TEA-21, #2 Overlay Program         | FV         | H230 | 80,992  | 80,992                                   | 80,992  |                    | 7,306,300                                     | 23,557,421 |
| Dec-09  | ITO-0(021)  | SS86603D     | San Xavier Rd Pedestrian Safety    | AUTH       | L20E | (300,000)                                     | (300,000)                                | (300,000)   |                    | 7,006,300                                     | 23,257,421 |
| Dec-09  | 000-B(176)  | PL10116P     | PAG PL FY10                        | AUTH       | L45E | (170,978)                                     | (170,978)                                |   | (170,978)          | 6,835,322                                     | 23,086,443 |
| Dec-09  | 900-0(210)  | H546801X-03X | PAG STP Planning FY2000            | FV         | Q230 | 29,436  | 29,436                                   | 29,436  |                    | 6,864,758                                     | 23,115,879 |
| Jan-10  | TUC-0(019)  | SS40801C     | ISTEA 4, PHASE B                   | FV         | 33C0 | 32,206  | 32,206                                   | 32,206  |                    | 6,896,964                                     | 23,148,085 |
| Feb-10  | 000-B(176)  | PL10116P     | PAG PL FY10                        | MPA        | L45E | (155,371)                                     | (155,371)                                |   | (155,371)          | 6,741,593                                     | 22,992,714 |
| Mar-10  | 999-A(283)  | PPG1003P     | STATEWIDE PAG STP W/MATCH FY10     | MPA        | L23E | (750,000)                                     | (750,000)                                | (750,000)   |                    | 5,991,593                                     | 22,242,714 |
| Mar-10  | 999-A(282)  | PPG1002P     | STATEWIDE PAG STP - TDOT FY10      | MPA        | L23E | (392,500)                                     | (392,500)                                | (392,500)   |                    | 5,599,093                                     | 21,850,214 |
| Mar-10  | 900-A(061)  | H630401X     | PAG STP FY03                       | FV         | Q230 | 730   | 730                                      | 730   |                    | 5,599,823                                     | 21,850,944 |
| Apr-10  | CONTINUING RESOLUTION - FFY 10 STP Allocation (FHWA NOTICE-FY2010-N4510.723)/ 6 |              |                                    |            |      | 13,810,559                                    | 13,810,559                               | 12,837,787  | 972,772            | 19,410,382                                    | 35,661,503 |
| May-10  | 000-B(176)  | PL10116P     | PAG PL FY10                        | MPA        | L45E | (528,521)                                     | (528,521)                                |   | (528,521)          | 18,881,861                                    | 35,132,982 |
| Jun-10  | 000-B(176)  | PL10116P     | PAG PL FY10                        | MPA        | L45E | (326,350)                                     | (326,350)                                |   | (326,350)          | 18,555,511                                    | 34,806,632 |
| Jun-10  | 999-A(283)  | PPG1003P     | STATEWIDE PAG STP W/MATCH FY10     | MPA        | L23E | (1,250,000)                                   | (1,250,000)                              | (1,250,000)   |                    | 17,305,511                                    | 33,556,632 |
| Jun-10  | 999-A(282)  | PPG1002P     | STATEWIDE PAG STP - TDOT FY10      | MPA        | L23E | (265,000)                                     | (265,000)                                | (265,000)   |                    | 17,040,511                                    | 33,291,632 |
| Jun-10  | 094-A(284)  | RLTAP15P     | LTAP FY10                          | AUTH       | L25E | (50,000)                                      | (50,000)                                 | (50,000)  |                    | 16,990,511                                    | 33,241,632 |
| Jun-10  | HELP LOAN REPAYMENT - QORV3P08U   |              |                                    |            |      |   | (3,000,000)                              | (3,000,000)   |                    | 13,990,511                                    | 30,241,632 |
| Jun-10  | RESTORATION OF FFY 09 RESCINDED APPORTIONMENTS/ 7                               |              |                                    |            |      |   | 16,251,121                               | 0   | 16,251,121         |   | 30,241,632 |
| Sep-09  | STP TRANSFER TO FTA   |              |                                    |            |      |   | (2,997,000)                              | (2,997,000)   |                    | 27,244,632                                    | 27,244,632 |
| Sep-10  | TUC-0(239)  | SS89701C     | CITY OF TUCSON - VARIOUS LOCATIONS | AUTH       | L23R | (200,000)                                     | (200,000)                                | (200,000)   |                    | 27,044,632                                    | 27,044,632 |
| Sep-10  | SAH-0(202)  | SS93001C     | VARIOUS SECTIONS - SAHUARITA       | AUTH       | L23R | (323)   | (323)                                    | (323)   |                    | 27,044,309                                    | 27,044,309 |
| Sep-10  | 010-D(201)  | H583801C     | TWIN PEAKS TI                      | MPA        | L23R | (19,748,000)                                  | (19,748,000)                             | (19,748,000)  |                    | 7,296,309                                     | 7,296,309  |
| Sep-10  | TUC-0(058)  | SS53501X     | MTN AVE, ROGER RD TO FT LOWELL     | MPA        | L230 | (2,515,516)                                   | (2,515,516)                              | (2,515,516)   |                    | 4,780,793                                     | 4,780,793  |
| Sep-11  | HURF EXCHANGE   | HF11601C     | THORNYDALE RD IMPROVEMENT          | EXCH       | HURF | (800,000)                                     | (800,000)                                | (800,000)   |                    | 3,980,793                                     | 3,980,793  |
| <b>TOTAL</b>  |   |              |                                    |            |      | 3,980,793                                     | (12,270,327)                             | 3,630,793   | 350,000            | 3,980,793                                     | 3,980,793  |

**Notes:**

- 1/ Beginning Balances of Apportionments and OA may not match. See notes 2 and 3.
- 2/ Apportionments represent the amount of federal funding based on formula. It is not unusual for apportionments to exceed OA, resulting in excess apportionments that may not be obligated. Over the multi-year life of the federal transportation act, apportionments may accumulate but cannot be utilized unless Congress has approved a matching amount of OA. There is no guarantee Congress will provide the necessary OA to go with it needed to fully utilize apportionments. See notes 3 and 5.
- 3/ Obligation Authority is the amount of authorized apportionments which Congress allows states to obligate in an individual year. This is the amount the federal government will reimburse.
- 4/ Action Abbreviations: AUTH = New Authorization; CONV = Conversion from Advanced Construction; FV = Final Voucher; MPA = Modified Project Agreement.
- 5/ The actual ratio of OA to Apportionments is 93.5% for FFY10. However, ADOT is crediting ledgers using a ratio of 100% on a one-time basis to enable COGs/MPOs to fully utilize their carried forward apportionments.
- 6/ Surface Transportation Program funding is distributed to Arizona's rural COGs and MPOs based on the percent of the State's total population each COG/MPO represents (according to the 2000 Census), as shown on the "STP Distribution" worksheet. If applicable, this figure represents the sum of all sub-allocated funds.
- 7/ Restoration is the amount required to make Apportionments equal to OA as of October 1, 2009.