

<b>PAG: Estimated Federal Fiscal Year (FFY) 2011 Fourth Quarter                      Surface Transportation Program (STP)* Federal Funding Ledger</b> (as of 09/30/11)							Surface Transportation Funds (STP)	State Planning and Research/ Metro Planning (SPR/PL)	Apportionments / 2	Obligation Authority (OA) / 3
<b>Beginning Balance: Carry-Forward from FFY 2010/ 1</b>							3,630,793	350,000	3,980,793	3,980,793
Date	Item/ Project Number	TRACS #	Project Location	Action / 4	Projected Federal Funds for Current FFY/ 5		STP	SPR/PL	Estimated Federal Funds Cumulative Balance	
					Apportionments	OA			Apportionments	OA
Oct-10	FFY 11 STP Allocation (Public Law 111-242)/ 6				4,481,528	3,151,844	2,883,356	268,488	8,462,321	7,132,637
Nov-10	999-A(311)	PPG1102P	PAG Planning (STP/TDOT) FY11	AUTH	(650,000)	(650,000)	(650,000)		7,812,321	6,482,637
Nov-10	999-A(312)	PPG1103P	PAG Planning (STP w/match) FY11	AUTH	(2,100,000)	(2,100,000)	(2,100,000)		5,712,321	4,382,637
Nov-10	000-B(178)	PL10117P	PAG Metro Planning FY11	AUTH	(295,305)	(295,305)		(295,305)	5,417,016	4,087,332
Dec-10	TUC-0(231)	SL42101C	Broadway Blvd Bike/Ped	F/V	59	59	59		5,417,075	4,087,391
Dec-10	FFY 11 STP Allocation (Public Law 111-290)/ 7				-	738,713	675,786	62,927	5,417,075	4,826,104
Dec-10	FFY 11 STP Allocation (Public Law 111-317)/ 8				-	147,743	135,157	12,585	5,417,075	4,973,847
Dec-10	FFY 11 STP Allocation (Public Law 111-322)/ 9				-	3,595,072	3,288,828	306,244	5,417,075	8,568,919
Jan-11	STP TRANSFER TO FTA/ 14				(9,700,000)	(9,700,000)	(9,700,000)		(4,282,925)	(1,131,081)
Jan-11	STP Apportionments and OA Loan from ADOT for Jan 11 FTA Transfer			Loan	4,282,925	1,131,081	1,131,081		(0)	(0)
Mar-11	FFY 11 STP Allocation (Public Law 112-4)/ 10				-	689,466	630,734	58,732	(0)	689,466
Mar-11	FFY 11 STP Allocation (Public Law 112-6)/ 11				-	1,034,199	946,101	88,098	(0)	1,723,665
Mar-11	IT0-0(201)	SS86603D	San Xavier Rd Pedestrian Safety	MPA	(23,575)	(23,575)	(23,575)		(23,575)	1,700,090
Mar-11	TUC-0(226)	SS73003D	Pantano Rd; Escalante to Irvington	AUTH	(250,000)	(250,000)	(250,000)		(273,575)	1,450,090
Mar-11	000-B(178)	PL10117P	PAG PL Program	MPA	(223,080)	(223,080)		(223,080)	(496,655)	1,227,010
Mar-11	SPR and STP Apportionment Loans from ADOT for March actions			Loan	496,655	-	273,575	223,080	(0)	1,227,010
Apr-11	FFY 11 STP Allocation (Public Law 112-8)/ 12				13,493,830	8,618,321	7,884,176	734,147	13,493,830	9,845,331
Apr-11	PAS-0(202)	SS94703D	Calle Torim; Camino De Oeste	AUTH	(400,000)	(400,000)	(400,000)		13,093,830	9,445,331
May-11	PPM-0(210)	SS71301C	Safety and Education Program	AUTH	(500,000)	(500,000)	(500,000)		12,593,830	8,945,331
May-11	TUC-0(043)	SS48601C	ITS Traffic Signal Contoller Upgrade	F/V	8,562	8,562	8,562		12,602,392	8,953,893
Jun-11	MRN-0(014)	SB41303D	Ina Rd Bridge Over Santa Cruz	AUTH	(300,000)	(300,000)	(300,000)		12,302,392	8,653,893
Jun-11	TUC-0(207)	SS63001C	Emergency Preemtion Sys	F/V	5	5	5		12,302,397	8,653,898
May-11	007-4(001)	SD01001C	Palo Verde @ Vets Meml O/P	F/V	511,627	511,627	511,627		12,814,024	9,165,525
May-11	TUC-0(047)	SS51901C	Fire Stations #4 & 5	F/V	11,316	11,316	11,316		12,825,340	9,176,841
May-11	TUC-0(209)	SS63803D	Alternate Mode Improvement FY05	F/V	21	21	21		12,825,361	9,176,862
Jul-11	TUC-0(055)	SL50602C	Stone Ave: 6TH to First Streets	F/V	14,138	14,138	14,138		12,839,499	9,191,000
Jul-11	094-A(023)	RLTAP16P	Statewide Technology Transfer FY11	AUTH	(50,000)	(50,000)	(50,000)		12,789,499	9,141,000
Aug-11	999-A(312)	PPG1103P	S/W PAG STP W/Match FY11	MPA	(1,090,327)	(1,090,327)	(1,090,327)		11,699,172	8,050,673
Aug-11	000-J(180)	PS20118P	S/W Planning and Research	AUTH	(250,000)	(250,000)		(250,000)	11,449,172	7,800,673
Sep-11	TUC-0(225)	SS72901C	Bicycle Parking Improvements	AUTH	(49,979)	(49,979)	(49,979)		11,399,193	7,750,694
Sep-11	000-B(180)	PL10118P	PAG PL Program FY12	AUTH	(702,390)	(702,390)		(702,390)	10,696,803	7,048,304
Sep-11	000-B(178)	PL10117P	PAG PL Program FY11	MPA	518,385	518,385		518,385	11,215,188	7,566,689
Sep-11	000-B(180)	PL10118P	PAG PL Program FY12	MPA	(518,385)	(518,385)		(518,385)	10,696,803	7,048,304
Sep-11	PPM-0(201)	SS63901C	La Canada Dr; River Rd to Ina Rd /14	AUTH	(17,172,000)	(17,172,000)	(17,172,000)		(6,475,198)	(10,123,696)
Sep-11	STP Loan from ADOT for LaCanada			Loan	6,475,197	10,123,696	10,260,566		(0)	(0)
<b>TOTAL</b>					<b>(3,980,793)</b>	<b>(3,980,793)</b>	<b>0</b>	<b>633,526</b>	<b>(0)</b>	<b>(0)</b>

Final Estimates

LOAN REPAYMENT SCHEDULE				Federal Funding	
				Apportionment	OA
Due	To	From	Purpose/Project		
Oct-11	ADOT	PAG	STP Apportionments and OA Loan from ADOT for Jan 11 FTA Transfer	4,282,925	1,131,081
Oct-11	ADOT	PAG	SPR and STP Apportionment Loans from ADOT for March	496,655	-
Oct-11	ADOT	PAG	STP Loan from ADOT for LaCanada	6,475,197	10,123,696
<b>Total</b>				<b>11,254,777</b>	<b>11,254,777</b>

**Notes:**

1/ Beginning Balances of Apportionments and OA may not match. See notes 2 and 3.

2/ Apportionments represent the amount of federal funding based on formula. It is not unusual for apportionments to exceed OA, resulting in excess apportionments that may not be obligated. Over the multi-year life of the federal transportation act, apportionments may accumulate but cannot be utilized unless Congress has approved a matching amount of OA. There is no guarantee Congress will provide the necessary OA needed to fully utilize apportionments. See notes 3 and 5.

3/ Obligation Authority is the amount of authorized apportionments which Congress allows states to obligate in an individual year. This is the amount the federal government will reimburse.

4/ Action Abbreviations: AUTH = New Authorization; CONV = Conversion from Advanced Construction; FV = Final Voucher; MPA = Modified Project Agreement.

5/ Due to lack of a long-term program authorization and because OA is being made available by Congress incrementally through multiple Continuing Resolutions, the ratio of OA to Apportionments for FFY 11 is not yet known. However, the OA ratio in FFY 10 was 93% and it is anticipated to be approximately the same in FFY 11.

6 through 12/ See attached table regarding FFY 11 Continuing Resolutions, Apportionments and Obligation Authority.

13/ As a result of ADOT crediting OA at 100% in FFY11, PAG has benefitted from an additional \$1,258,275 in OA. See circled amount on the attached table.

14/ ADOT loaned PAG both apportionments and OA for the Jan 11th transfer to FTA and the La Canada project. These loans will be repaid from future federal apportionments and OA as authorized by Congress.

\* STP funding is distributed to Arizona's rural COGs and MPOs based on the percent of the state's total population (according to the 2000 Census) which each represents. See [http://www.azdot.gov/Inside\\_ADOT/FMS/PDF/STP%20Greater%20AZ.pdf](http://www.azdot.gov/Inside_ADOT/FMS/PDF/STP%20Greater%20AZ.pdf). If applicable, this figure represents the sum of all sub-allocated funds.

**FFY 11 Continuing Resolutions, Apportionments\* and Obligation Authority\***

				PAG						
				STP	SPR/PL					
				Annual	\$16,444,138	\$1,531,220				
				Daily	\$ 45,052	\$ 4,195				
Note	Continuing Resolution/ Public Law	Apportionments Authorized Through	Obligation Authority Authorized Through	OA Number of Days	PAG Incremental STP per CR	PAG Incremental SPR per CR	PAG Total Incremental OA per CR	PAG OA at 93%	Benefit to PAG of 100% OA Credit	
6	111-242	12/31/10	12/3/10	64	\$ 2,883,356	\$ 268,488	\$ 3,151,844	\$ 2,931,215	\$ 220,629	
7	111-290	12/31/10	12/18/10	79	\$ 675,786	\$ 62,927	\$ 738,713	\$ 687,003	\$ 51,710	
8	111-317	12/31/10	12/21/10	82	\$ 135,157	\$ 12,585	\$ 147,743	\$ 137,401	\$ 10,342	
9	111-322	12/31/10	3/4/11	155	\$ 3,288,828	\$ 306,244	\$ 3,595,072	\$ 3,343,417	\$ 251,655	
10	112-4	12/31/10	3/18/11	169	\$ 630,734	\$ 58,732	\$ 689,466	\$ 641,203	\$ 48,263	
11	112-6	12/31/10	4/8/11	190	\$ 946,101	\$ 88,098	\$ 1,034,199	\$ 961,805	\$ 72,394	
12	112-8	9/30/11	9/30/11	365	\$ 7,884,176	\$ 734,147	\$ 8,618,322	\$ 8,015,040	\$ 603,283	
13				<b>Total</b>	\$16,444,138	\$ 1,531,220	\$ 17,975,358	\$ 16,717,083	\$ <b>1,258,275</b>	

\*Estimated figures