GRANTS 101

AZAA SPRING CONFERENCE
APRIL 16, 2008
PRESCOTT, ARIZONA
STATE GRANT PROCESS
GOT YOU TANGLED UP?

WE CAN HELP!
Arizona Department of Transportation
Aeronautics Division

GRANTS 101

http://www.azdot.gov/Aviation/index.asp
Arizona Department of Transportation
Aeronautics Division

GRANTS 101
AERONAUTICS STAFF

 Buccaneer Dick, A.A.E., Director
 Buccaneer Kim Stevens, Aviation Services & Outreach
   Buccaneer Carole Glenn – Education & Outreach Coordinator
   Buccaneer Norman Tapaha – Aircraft Registration
 Buccaneer Michael Klein, A.A.E. Airport Development
   Buccaneer Kenn Potts, A.A.E. – Airport Projects Planning Manager
   Buccaneer Tammy Martelle – Airport Projects Manager
   Buccaneer Nancy Faron – Airport Projects Manager
   Buccaneer Mark Meyers, A.A.E. – Systems Planning Manager
   Buccaneer Jamie Brown - Secretary

 Buccaneer Michael Halpin – Grand Canyon Airport
   Buccaneer Craig Talatzko – Airport Operations Manager

http://www.azdot.gov/Aviation/index.asp
AVIATION FUND

http://www.azdot.gov/Aviation/index.asp
Arizona Department of Transportation
Aeronautics Division
GRANTS 101
AVIATION FUND SOURCES FY 2007 $27,800,000
Flight Property Tax is a tax on airline companies operating flight property in air commerce in the State of Arizona.

Collected by Department of Revenue

Received by Aeronautics twice a year
April & November

http://www.azdot.gov/Aviation/index.asp
Aircraft Registration is a tax levied on the average fair market value of aircraft based and registered in Arizona.

Airport quarterly reports provide data

Bills sent out in December
Due in February

Owners can use Service Arizona
www.servicearizona.com
### Aircraft Registration

<table>
<thead>
<tr>
<th>Aircraft Type</th>
<th>Number</th>
</tr>
</thead>
<tbody>
<tr>
<td>Glider</td>
<td>123</td>
</tr>
<tr>
<td>Balloon</td>
<td>152</td>
</tr>
<tr>
<td>Antique</td>
<td>1,110</td>
</tr>
<tr>
<td>Home Built/Experimental</td>
<td>513</td>
</tr>
<tr>
<td>Rotorcraft</td>
<td>255</td>
</tr>
<tr>
<td>Landplane</td>
<td>3,116</td>
</tr>
<tr>
<td>Agriculture</td>
<td>33</td>
</tr>
<tr>
<td>Classic/Warbird</td>
<td>1,345</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td>6,647</td>
</tr>
</tbody>
</table>

Fee is $0.05 per gallon of aviation fuel and motor vehicle fuel used in aircraft

Collected at the pump

Does not include Jet Fuel
Arizona Department of Transportation
Aeronautics Division

GRANTS 101
LOAN PAYMENTS AND INTEREST

▶ Loan Repayments - Quarterly
▶ 9 outstanding loans – $8.5 M
▶ Current balance $5.6M
▶ 2007 Interest on loan balances - $270.6K
▶ Application material on AERO website

http://www.azdot.gov/Aviation/index.asp
Expenditures

- Aeronautics Division operations
- Grand Canyon Airport operations
- Airport Pavement Preservation Program (APPP)
- Loans
- State projects
- All grants!

http://www.azdot.gov/Aviation/index.asp
ARS 28-6951 requires a five-year plan

Funding program follows State Transportation Board (STB) Aviation Policies and Arizona Revised Statutes

STB Policy on Aeronautics Website

http://www.azdot.gov/Aviation/index.asp
Arizona Revised Statutes
Title 28 – Transportation  Chapter 25 – Aviation
http://www.azleg.gov/ArizonaRevisedStatutes.asp?Title=28

Articles 1-8
2. Aeronautics Division
3. Aircraft Operation
4. Aircraft Registration & Taxation
5. Aircraft Dealers
6. Airports in General
7. Airport Zoning and Regulation
8. Joint Powers Airport Authority

http://www.azdot.gov/Aviation/index.asp
ARIZONA AIRPORT SYSTEM

- **Primary**
  - 10 or more based aircraft
  - 2,000 or more annual operations
  - Projected to meet criteria within 10 years

- **Secondary**
  - Does not meet above criteria

- **Tribal**

- **Private**

Arizona Department of Transportation
Aeronautics Division

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AIRPORT DEVELOPMENT PROGRAMS

✈️ Federal State Local
✈️ State Local
✈️ Airport Pavement Management System (APMS)
✈️ System Planning
✈️ Loan Program

http://www.azdot.gov/Aviation/index.asp
Arizona Department of Transportation
Aeronautics Division

GRANTS 101
FIVE-YEAR AIRPORT CAPITAL IMPROVEMENT PROGRAM

✈ Funded via Aviation Fund
✈ Approved by State Transportation Board
✈ Arizona Revised Statutes and STB policy
   ✈ Define funding limits
   ✈ Define eligibility

http://www.azdot.gov/Aviation/index.asp
Revenue Projections

- External ADOT Consultant hired
- Four main revenue sources

Expected Reimbursements & Projected Costs

- State Grant
- Federal Grant Match
- APMS
- Loan
- State Projects

Fall time frame

http://www.azdot.gov/Aviation/index.asp
Cash Flow Estimates

- Actual
- Sponsor submitted
- All five programs & GCN are evaluated

Maintain minimum fund balance of $2M
ARS 28-8202 – State aviation fund; report

D. The board shall distribute monies appropriated …for planning, design, development, acquisition of interests in land, construction and improvement of publicly owned and operated airport facilities …. The board shall distribute these monies according to the needs for these facilities as determined by the board. (emphasis added)

No more than ten per cent of the total aviation fund may be awarded to any one airport in any fiscal year.
New ruling by Attorney General requires that all monies allocated to an airport must be counted towards the “10% Airport Cap.”

- This means in any fiscal year total from all:
  - State grants
  - Pavement Preservation Projects
  - Loans

  can not exceed 10% of the aviation fund

http://www.azdot.gov/Aviation/index.asp
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Aeronautics Division

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STATE TRANSPORTATION BOARD POLICIES

- Sponsor (political subdivision of the State)
- In Master Plan/On an FAA Approved ALP
- Maximize Federal & State Funds
- Rated by Priority Number
- 80% funds to Commercial Service/Relievers
- 18% funds to General Aviation Airports
- 2% funds to Secondary Airports
- Comply with Environmental Requirements

http://www.azdot.gov/Airport/index.asp
Arizona Department of Transportation
Aeronautics Division

GRANTS 101

QUESTIONS
On Aviation Fund?

http://www.azdot.gov/Aviation/index.asp
AIRPORT CAPITAL IMPROVEMENT PROGRAM DEVELOPMENT

http://www.azdot.gov/Aviation/index.asp
ACIP TIMELINE

Now to Oct. 31  Joint Planning Conference
October 31     ACIP submittal due (on-line)
Nov – Dec      ADOT Aeronautics review
January        Priority Planning Advisory Committee
February       State Transportation Board (STB)
March-May      Three Public Hearings
June           Approval by STB
July           FY State Grants issued

http://www.azdot.gov/Aviation/index.asp
ACIP SUBMITTALS

→ Submittals by ACIP Website
  → User Name & Password issued by AERO
     → Changed every year
     → Manager can grant limited access to others
  → First - Develop Project Drawing and Attach
  → Next - Enter Project Data
  → Complete by due date – October 31, 2008
  → Your submittal is your signature
  → Call us with any questions

http://www.azdot.gov/Aviation/index.asp
Projects Eligible for State Funding

联邦援助匹配赠款计划

规划、设计、开发、土地所有权、建设和改善公共拥有的机场设施

http://www.azdot.gov/Aviation/index.asp
66 Airports submitted 952 projects

952 projects worth $1.48 Billion
- 563 F/S/L capital projects at $1.28 Billion
- 277 S/L capital projects at $173 Million

FY 2009 State/Local Funding
- 76 projects for $41.2 Million considered
- 27 proposed for funding at $20.2 Million

http://www.azdot.gov/Aviation/index.asp
Arizona Department of Transportation
Aeronautics Division

ACIP SUBMITTALS

Project Types

→ Maintenance – APMS
→ Safety & Capacity
→ Planning

http://www.azdot.gov/Aviation/index.asp
Planning Projects

✈ Master Plans
   ✈ Rates and Charges
   ✈ Minimum Standards

✈ Part 150 Noise Studies

✈ Airport wide Drainage Plans

✈ Environmental Assessments (EA)

✈ Environmental Impact Statements (EIS)

http://www.azdot.gov/Aviation/index.asp
Land Acquisition

- Protection
  - Runway Protection Zones (RPZ)
  - Non compatible uses
  - Approaches (categories)

- Expansion
  - Aviation related areas
    - Terminals
    - Hangars
    - Aprons, etc.

http://www.azdot.gov/Aviation/index.asp
Construction

→ Runways, Taxiways, Aprons
→ Terminals for Commercial Service
→ Security Lighting/Fencing
→ Signage
→ Utility Improvements associated w/ project
→ ARFF Facilities

STB Policy for additional eligible projects

http://www.azdot.gov/Aviation/index.asp
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Aeronautics Division

ACIP DEVELOPMENT
FUNDING OF INELIGIBLE ITEMS

When PIGS FLY

http://www.azdot.gov/Aviation/index.asp
Non-eligible Projects

- Equipment (ARFF, snow removal, radios)
- Fencing above chain link
- Security Cameras at GA
- Lunch and Dinner
- Rules & Regulations, SWPPP, Utility Plans, etc.
- Utilities not associated with an eligible project
- Any project not on Airport Property

http://www.azdot.gov/Aviation/index.asp
Non-eligible Projects (continued)

- Airport specific Economic Impact
- Airport specific Pavement Management
- Projects benefiting private entities
- Revenue producing projects (Fuel Farms)
- Maintenance of Auto Parking Lot or Roadway
- Roads not to the Terminal Building

http://www.azdot.gov/Aviation/index.asp
Primary Airports - Priority Number

- Project Component – points vary
- Main Runway or Taxiway - 20 points
- New Navigation Aid (main runway only) – 20 points
- Ratio of Waiting List & Based Aircraft – 50 max
- Enplanements – 10 max
- Annual Operations – 10 max
- Ratio of 60% ASV – 50 max
- 30% Eligibility review approved by ADOT – 20 points
<table>
<thead>
<tr>
<th>Project Component</th>
<th>Points</th>
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<tbody>
<tr>
<td>Apron Construct</td>
<td>40</td>
<td>Master Plan Update</td>
<td>50</td>
</tr>
<tr>
<td>Apron Reconstruct</td>
<td>50</td>
<td>REIL Upgrade</td>
<td>10</td>
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<td>5</td>
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<td>40</td>
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<td>30</td>
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<td>80</td>
<td>Signage Upgrade</td>
<td>50</td>
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<td>*</td>
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<tr>
<td>Land Protection</td>
<td>60</td>
<td>Terminal Construct (CS)</td>
<td>20</td>
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Primary Airports Only

RATIO OF WAITING List : BASED AIRCRAFT

- 0.01 – 0.09  5 points
- 0.10 – 0.19  10 points
- 0.20 – 0.29  20 points
- 0.30 – 0.39  30 points
- 0.40 – 0.49  40 points
- 0.50 & up    50 points
Primary Airports Only

ENPLANEMENT POINTS

<table>
<thead>
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<th>Range</th>
<th>Points</th>
</tr>
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<tbody>
<tr>
<td>1 - 200</td>
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<tr>
<td>201 – 400</td>
<td>2 points</td>
</tr>
<tr>
<td>401 – 600</td>
<td>3 points</td>
</tr>
<tr>
<td>601 – 800</td>
<td>4 points</td>
</tr>
<tr>
<td>801 – 1,000</td>
<td>5 points</td>
</tr>
<tr>
<td>1,001 – 5,000</td>
<td>6 points</td>
</tr>
<tr>
<td>5,001 – 10,000</td>
<td>7 points</td>
</tr>
<tr>
<td>10,001 – 15,000</td>
<td>8 points</td>
</tr>
<tr>
<td>15,001 – 20,000</td>
<td>9 points</td>
</tr>
<tr>
<td>20,001 &amp; UP</td>
<td>10 points</td>
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http://www.azdot.gov/Aviation/index.asp
Primary Airports Only

ANNUAL OPERATIONS POINTS

- 0 - 1,000 1 point
- 1,001 – 2,000 2 points
- 2,001 – 5,000 3 points
- 5,001 – 10,000 4 points
- 10,001 – 20,000 5 points
- 20,001 – 50,000 6 points
- 50,001 – 100,000 8 points
- 100,000 & UP 10 points

http://www.azdot.gov/Aviation/index.asp
Primary Airports Only

ANNUAL OPERATIONS TO 60% ASV

- 0.00 – 0.29  
  0 points
- 0.30 – 0.39  
  10 points
- 0.40 – 0.59  
  20 points
- 0.60 – 0.79  
  30 points
- 0.80 – 0.99  
  40 points
- 1.0 & UP  
  50 points
Arizona Department of Transportation
Aeronautics Division

ACIP DEVELOPMENT
STATE TRANSPORTATION BOARD POLICIES

PRIORITY POINTS FOR SECONDARY

✈ Project Component – points vary
✈ Safety Improvement or no air evac – 20 points
✈ Sufficiency Rating – 25 max
✈ Based Aircraft – 25 max
✈ Phased Project – 10 points
✈ Community Support – 10 points
✈ Service Area – 25 max
✈ Quick start or Design Only – 20 points

http://www.azdot.gov/Aviation/index.asp
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http://www.azdot.gov/Aviation/index.asp
### Secondary Airports Only

**Sufficiency Rating (nearest primary airport)**

- **0 – 20 miles**: 0 points
- **21 – 30 miles**: 5 points
- **31 – 40 miles**: 10 points
- **41 – 50 miles**: 15 points
- **51 – 60 miles**: 20 points
- **61 & Up**: 25 points

Secondary Airports Only
Based Aircraft

- 1  5 points
- 2  10 points
- 3  15 points
- 4  20 points
- 5 & Up  25 points
Secondary Airports Only

Airport Service Area (Population within)

- less than 500: 0 points
- 501 – 1,000: 5 points
- 1,001 – 1,500: 10 points
- 1,501 – 2,000: 15 points
- 2,001 – 2,500: 20 points
- 2,501 & Up: 25 points
ACIP SUBMITTAL TIPS

› Shall be justified in Master Plan
› Must be on approved ALP
› Environmental work completed
› Provide details
  › Dimensions & location on airport
› Description – What you want to do
› Justification – Why you want to do it
› Program Year – Same for each FY
› Fiscal Year – One of the five years

http://www.azdot.gov/Aviation/index.asp
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Aeronautics Division

ACIP SUBMITTAL TIPS

Correct category to project description

http://www.azdot.gov/Aviation/index.asp
ACIP SUBMITTAL TIPS

Accurate project sketch

http://www.azdot.gov/Aviation/index.asp
Submit high quality drawings - good scale
Realistic project costs

Update Master Plan $900,000.00

➤ Very expensive for a one runway GA airport!
➤ Watch the decimal point!

Construct GA Apron 200’ x 200’ at $400,000

➤ At $50 sq yd should be $220,000 plus

http://www.azdot.gov/Aviation/index.asp
Proper Project Description/Justification

City: YUMA
Airport: YUMA MCAS/YUMA INTL

Requested Funding:
- Program Year: 2009
- Fiscal Year: 2010
- Funding Source: State and Local
- Standard Federal Funding?: No
- Requested Total: $250,000.00
- Date Requested: 12/10/2007
- Requested by: Airport Manager - via WEB

Project Description:
- Project Category: Safety/Capacity
- Project Component: Apron: Apron Construct
- Project Justification:
  - Need capacity/safety. The existing GA aprons are full and often pose a hazard due to the requirement to fit large aircraft into their small area. This apron will provide needed GA parking space for light aircraft.
- Short Description:
  - South GA Apron (Design)
  - Design So. GA Apron, 400 ft x 200 ft (80,000 SY)

http://www.azdot.gov/Aviation/index.asp
ACIP SUBMITTAL TIPS

Proper Project Sketch
ACIP SUBMITTALS

PROJECT REVIEW

- ADOT Checklist
- ALP
- Master Plan
- Environmental
- Project Type
- Cost
- Eligibility
- Priority Number

http://www.azdot.gov/Aviation/index.asp
ADOT prepares tentative program
Priority Planning Advisory Committee reviews
State Transportation Board reviews
Arizona Department of Transportation  
Aeronautics Division  
AIRPORT CAPITAL IMPROVEMENT PROGRAM

✈ 2009-2013 STB accepted plan on web site  
✈ Approved ACIP’s on web site.

http://www.azdot.gov/Aviation/index.asp
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Aeronautics Division

ACIP DEVELOPMENT

QUESTIONS ON ACIP?

http://www.azdot.gov/Aviation/index.asp
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STATE/LOCAL GRANTS

http://www.azdot.gov/Aviation/index.asp
Arizona Department of Transportation
Aeronautics Division
STATE/LOCAL GRANTS
TIMELINE

- Request by Sponsor via ACIP
- ACIP Approved by STB in June
- ACIP description is grant description
- ADOT contacts sponsor in July
- Grant prepared and sent to sponsor
- Approved and signed by sponsor (3 sets)
- Approved by Aeronautics Director
- Recorded w/ Secretary of State
- Executed original sent to sponsor

http://www.azdot.gov/Aviation/index.asp
Design Only
Construct Only
Design/Construct
Land Acquisition
Planning
Environmental

http://www.azdot.gov/Aviation/index.asp
STATE/LOCAL GRANTS
STATE TRANSPORTATION BOARD POLICIES

- Sponsor shall provide matching share
- Funds are for STB approved project
- Upon completion, remaining funds revert
- Meet grant time limitations
- Accept within four months of STB approval
- Prompt reimbursement requests

http://www.azdot.gov/Aviation/index.asp
Arizona Department of Transportation
Aeronautics Division
STATE/LOCAL GRANTS
GRANT NUMBER SECRET DECODING

GRANT NUMBER  E8F21 or E8S22

✈️ E     All Aeronautics Grants
✈️ 8     State Fiscal Year  [July 1 – June 30]
✈️ F     Federal/State/Local Project
✈️ S     State/Local Project
✈️ 21/22 Grant Number in sequence

http://www.azdot.gov/Aviation/index.asp
Arizona Department of Transportation
Aeronautics Division
STATE/LOCAL GRANTS
GRANT INFORMATION

✈ Four year maximum terms
   ✈ Land acquisition may take longer
   ✈ Design Only or Construct Only shorter

✈ Amendments
   ✈ Time, Scope, Amount or Combination
   ✈ Minor Amendments – Aero
   ✈ Major Amendments – STB
For amendments

- ADOT-Aero
  - Time
  - Increased costs up to 15% of grant
  - Minor scope changes

- STB
  - Major Scope Changes
  - Increased Costs over 15% of grant

http://www.azdot.gov/Aviation/index.asp
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STATE/LOCAL GRANTS
F/S/L GRANTS

เหลือง FAA Matching grants(119,311),(664,805)

- Send letter requesting matching grant
- Accurate drawing on ALP base map
- Send copy of fully executed AIP grant
- Must be approved by STB
- ADOT will fund 50% of sponsor obligation
- Counts towards “Airport Cap”
- Grant description same as Federal grant

http://www.azdot.gov/Aviation/index.asp
“Off-ACIP” Grants

- Pressing need or emergency
- High priority score
- In Master Plan and on approved ALP
- No non-performing or aged grants
- 12-18 months from start to finish
- Counts towards “Airport Cap”
- Precise & concise letter
- Project drawing on ALP base map
Arizona Department of Transportation
Aeronautics Division

STATE/LOCAL GRANTS
GRANT TIPS

✈ Be prepared in July for S/L grant
✈ Fully complete Grant – fill in all spaces
✈ DO NOT DATE GRANT on page 1
✈ Be realistic:
  ❂ Project milestones
  ❂ Be accurate in cash flow table
✈ Must be accepted within four months
✈ Account for local approval process time

http://www.azdot.gov/Aviation/index.asp
Reference ADOT grant number on all correspondence
Return the originals – NO COPIES!
Need additional copies – let us know
Send required documentation
Start project promptly
Prompt Reimbursement requests

http://www.azdot.gov/Aviation/index.asp
Send GSA or project specific contracts early

Planning Projects
  Complete Scope of Work with consultant before requesting grant

Land Acquisition
  Be parcel specific

Update ADOT-Aeronautics as necessary
Arizona Department of Transportation
Aeronautics Division

STATE/LOCAL GRANTS
GRANT TIPS

- ACIP submittal description is grant description
- STB approves ACIP Project Description
- Requires – Project name, size, & location
- Do not expand project just because there appears to be mo’ money
- Project related items only
- Scope Creep is expanding the project

- No No No NO scope creep!!

http://www.azdot.gov/Aviation/index.asp
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CONTRACTOR ALLOWANCE

✈ AERO not adverse to
✈ Inform AERO of intention
✈ Typically for bid item needs or
✈ For underground utilities relocation
✈ Only for Unforeseen Circumstances
✈ NOT a “Contingency Fund”
✈ Approval to use required

http://www.azdot.gov/Aviation/index.asp
Arizona Department of Transportation
Aeronautics Division

GRANTS 101

TOP TEN WAYS TO LOSE S/L GRANT

10 No quarterly reports to ADOT
9 No reimbursement requests within a year
8 No or little activity to timely complete project
7 No consultant/contractor contract submitted
6 Significant changes to grant description
5 Adding of ineligible items
4 Poor coordination with ADOT
3 Non compliance with assurances
2 No 30% eligibility review by ADOT
1 No Sponsor matching funds

http://www.azdot.gov/Aviation/index.asp
QUESTIONS ON STATE/LOCAL GRANTS?
Arizona Department of Transportation
Aeronautics Division

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REIMBURSEMENTS

If you request it, it will come!

http://www.azdot.gov/Aviation/index.asp
Arizona Department of Transportation
Aeronautics Division
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GRANT REIMBURSEMENT REQUEST FORM

- Use NEW fillable form
- Send original
- Use correct information
- Check math!
- Sign it!
- Only Invoice is needed
- No extra papers
- Send to proper PM

http://www.azdot.gov/Aviation/index.asp
Arizona Department of Transportation
Aeronautics Division

GRANTS 101
REIMBURSEMENT PAYMENTS

 Reviewed by PM for eligibility
 Reviewed by Program Administrator
 Sent electronically to accounting
 ADOT processes payables once a month
 Check within 435 days

 NEW – LGIP

http://www.azdot.gov/Aviation/index.asp
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Aeronautics Division

REIMBURSEMENTS
GRANTS 101

QUESTIONS ON REIMBURSEMENTS?

http://www.azdot.gov/Aviation/index.asp
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Aeronautics Division

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PROJECT PROCESS

http://www.azdot.gov/Aviation/index.asp
Best Practices Guide

- FAA - ADOT - AzAA
- Provides Guidance
- Formally adopted
- Covers 11 areas of interdependence

AzAA Website  http://www.azairports.org/bestpracticesguide.php
http://www.azdot.gov/Aviation/index.asp
Arizona Department of Transportation
Aeronautics Division

SPONSOR RESPONSIBILITIES

CONSTRUCTION PROJECT PROCESS

- Consultant GSA & Contract/Scope/NTP
- Contractor Contract
- 30% Design Review
- Bid Documents
- Bid Tab
- Change Orders

http://www.azdot.gov/Aviation/index.asp
GRANTS 101

MASTER PLANS

- Copy RFQ/RFP
- Consultant Contract/Scope of Work/NTP
- Member of PAC
- Forecast approval
- Board Adoption
- Paper copy and PDF
- ALP review concurrent w FAA

http://www.azdot.gov/Aviation/index.asp
Land Acquisition

- EA required for 3+ acres
- Appraisals – Review Appraisal by ADOT ROW
- Title Work
- Surveys
- Parcel Number
- Map showing Property
- Retroactive cost OK

http://www.azdot.gov/Aviation/index.asp
Project Close Out

✈ Conduct a Final Inspection
✈ Provide a Sponsor’s Letter of Acceptance
✈ As-Built Plans (PDF format)
✈ Final Engineer’s Report (PDF Format)
✈ Submit Final Reimbursement Request
✈ No Final Payment without all documents

http://www.azdot.gov/Aviation/index.asp
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Aeronautics Division

GRANTS 101

CONSULTANT ROLE

http://www.azdot.gov/Aviation/index.asp
CONSULTANT ROLE

Consultant *may*

- Assist airport sponsor with ACIP
- Provide Drawings
- Provide correct advisement
- Prepare Grant Reimbursement Request
- Ensure documents are sent to ADOT
- Technical Support
- Assist with compliance
- Prepare documents for sponsor
- Use Arizona Best Practices Guide

http://www.azdot.gov/Aviation/index.asp
Consultant *may not*

- Authorize the ACIP submittal
- Sign grants
- Sign the Grant Reimbursement Request
- Submit requests for airport sponsor
- Exceed clearly defined role
- Sign certifications for sponsor

http://www.azdot.gov/Aviation/index.asp
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CONSULTANT ROLE
GRANTS 101

QUESTIONS ON CONSULTANT ROLE?

http://www.azdot.gov/Aviation/index.asp
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GRANTS 101

SPONSOR ASSURANCES

http://www.azdot.gov/Aviation/index.asp
Touch nearly every aspect of airport

- Operations
- Planning
- Finance
- Land

Violation = ineligibility = ☠

Different grants have different assurances

http://www.azdot.gov/Aviation/index.asp
Consultant Contracts
- Send a copy of the RFP/RFQ
- Must consider at least 3 consultant firms
- Send the proposed consultant contract before execution
- Needs ADOT review and approval prior to awarding
- Upon award of the contract an executed copy to Aero

Send ADOT based aircraft list each quarter

Compatible land use

Maintain a current ALP of the Airport

http://www.azdot.gov/Aviation/index.asp
Land Release/Sale

- Need State and FAA permission
- Sell at fair market value
- State & FAA will receive proportionate share of proceeds
- Shall be reinvested in another eligible project
- Shall be deposited to the AZ Aviation Fund

http://www.azdot.gov/Aviation/index.asp
Project Requirements

- Consistent with plans of the area surrounding
- Give fair consideration communities in or near
- Provide a PDF of final plans, specifications, engineering report, planning documents, and/or other published materials.
- Shall have sufficient funds available
Terms

- Not to exceed twenty (20) years
- Planning Grant until the grant is closed

Revenues

- Revenues generated at the airport shall be for the capital or operating costs of the Airport.
- Shall not permit an exclusive right
- Materials created sole property of Sponsor
QUESTIONS ON ASSURANCES?

http://www.azdot.gov/Airport/index.asp
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Aeronautics Division

GRANTS 101

LOCAL GOVERNMENT INVESTMENT POOL (LGIP)

http://www.azdot.gov/Aviation/index.asp
Per ARS 35-326 & 41-177
Not new, used for highway projects, etc.
Modification to grant agreement
Requires resolutions by airport sponsor
Requires account with State Treasurer
Same Grant Reimbursement Request
Aero notify of transfer
Remaining monies transferred back
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GRANTS 101
LOCAL GOVERNMENT INVESTMENT POOL

✈ Airport Sponsors without LGIP accounts

All Airports

http://www.azdot.gov/Aвиation/index.asp
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GRANTS 101
LOCAL GOVERNMENT INVESTMENT POOL

http://www.azdot.gov/Aviation/index.asp
RESOLUTION AUTHORIZING INVESTMENT IN LOCAL GOVERNMENT INVESTMENT POOL (LGIP) FOR AN AVIATION FUND GRANT

WHEREAS, local governments in Arizona are authorized to participate in a Local Government Investment Pool (LGIP), established pursuant to Arizona Revised Statutes Sections 35-326 and 41-177 operated by the State Treasurer of Arizona, and,

WHEREAS, ________ desires to participate in the LGIP for its ADOT Aeronautics Airport Development Grant F______ and,

WHEREAS, ________ agrees to abide by the conditions set forth in said Grant agreement for the use of an LGIP Account.

NOW THEREFORE BE IT RESOLVED THAT ________ does hereby authorize the deposit and withdrawal of monies in the LGIP operated by the State Treasurer of Arizona in accordance with Arizona Revised Statutes Sections 35-326 and 41-177.

Passed and adopted by the __________________, State of Arizona, on this _______ day of _________, 20____.

Signature

http://www.azdot.gov/Aviation/index.asp
QUESTIONS ON
LOCAL GOVERNMENT INVESTMENT POOL

http://www.azdot.gov/Aviation/index.asp
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GRANTS 101

AIRPORT PAVEMENT MANAGEMENT SYSTEM

http://www.azdot.gov/Aviation/index.asp
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AIRPORT PAVEMENT MANAGEMENT SYSTEM

- Fully complies with Federal PL 103-305
- Updated every three years by ADOT
- No cost to sponsor for report
- Gives PCI to airside pavements
- AERO programs funding in ACIP
- 2007 – Seven Airports - $3.6 M
- Back on-line in FY 2010 or later

http://www.azdot.gov/Aviation/index.asp
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GRANTS 101
APMS (CONTINUED)

- Request to ADOT to be in program
- Supplemental to Airport’s own Program
- Sponsors required to maintain pavement
- No local maintenance = No FAA or ADOT $$
- No reconstruction – PCI 50 & above only

http://www.azdot.gov/Aviation/index.asp
QUESTIONS ON APMS?

http://www.azdot.gov/Aviation/index.asp
Loan Program

http://www.azdot.gov/Aviation/index.asp
GRANTS 101
LOAN PROGRAM

- Economic Development/Revenue Projects
  -Hangars
  -Fuel storage and distribution facility

- Must be supported by revenue generation

- Loan application material on AERO website

- 9 active loans

- Most recent interest rate: 4.71%
  -Reviewed every six months

- Maximum Amount – Discretion of STB

- Subject to “Airport Cap”

http://www.azdot.gov/Aviation/index.asp
QUESTIONS ON LOAN PROGRAM?

http://www.azdot.gov/Aviation/index.asp
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CONTACTS

気軽にアクセス

Program Administrator
→ Michael Klein, A.A.E.  MAKlein@azdot.gov

Design & Construction
→ Tammy Martelle  TMartelle@azdot.gov
→ Nancy Faron  NFaron@azdot.gov

Planning, Land Acquisition, Environmental
→ Kenneth Potts, A.A.E.  KPotts@azdot.gov

State Airports System Plan
→ Mark Meyers, A.A.E.  MMeyers@azdot.gov

(602) 294-9144
http://www.azdot.gov/Aviation/index.asp
A good knowledge of the ADOT Grant Process will keep you out of the trees!

http://www.azdot.gov/Aviation/index.asp
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QUESTIONS?

http://www.azdot.gov/Aviation/index.asp