



DBE News & Events

November 29, 2018

Transferring Ownership of a DBE Company

By Jeff P.H. Cazeau for American DBE

The question of how the owner or owners of a DBE/ ACDBE firm can transfer ownership of the business to a new owner or owners can be complex. In this article, we'll discuss three of the most common situations where a transfer of ownership can take place [e.g. death of the socially and economically disadvantaged (SED) owner; sale of the firm; or gifting of the firm to another including children or heirs of the owner(s)].

Ownership Transfer upon the Death of the Primary DBE/ ACDBE Owner

If the SED owner of a DBE/ACDBE firm dies and there are no other owners, the firm simply ceases to be a DBE firm. If the owner of the firm left a will, or through probate, a new owner of the firm is established, that new owner would have to inform the certifying agency about the change of ownership within 30 days. This would trigger the certifying agency's obligation to remove the firm's certification.

If the SED owner dies, and there are other owners, three things can happen. First, if the other owners are SED individuals AND that determination was already made by the certifying agency, then the owners would simply inform the certifying agency of the change of ownership within 30 days of the change, and the firm should remain certified (so long as all other requirements of ownership are). Second, if the other owners are SED individuals but DID NOT go through the certification process, the firm would have to go through a new certification process with the new owners being the applicants. Third, if the other owners are not SED individuals, then the firm loses its certification.

Ownership Transfer after Sale

Keeping the DBE/ACDBE status of a firm after the sale of the company can be particularly complicated. A number of factors can contribute to that complexity. Here are some questions for consideration: Is the firm being sold to an SED individual or a non-SED individual? Is the firm itself being sold or only its assets or stock? Is the former owner going to remain involved in the firm?

Ownership Transfer after the DBE/ACDBE Firm is Gifted to Another Owner

The final situation is where an SED individual gifts the DBE/ACDBE firm to another individual — be it a spouse, child or an unrelated individual. In many cases where a certifying agency learns that ownership of a firm has been derived via a gift, immediate denial of certification is likely. However, the rules governing the DBE/ACDBE Programs actually don't state that obtaining ownership through a gift is an absolute impediment to DBE/ACDBE certification.

The rules state there is a presumption that a firm is not owned by an SED individual where the owner obtained ownership of a firm as a result of a gift from a non-SED firm or individual who 1) is involved in the firm seeking certification, 2) involved in a similar line of business or 3) engaged in an ongoing business relationship with the firm. While this rule specifically deals with the situation where a non-SED individual or firm gifts ownership of a firm, many agencies will apply this rule when even when dealing with the transfer from an SED individual or firm.

However, in all cases, the rules provide a process to overcome this presumption of ineligibility.

Read the full article [here](#).



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November 29, 2018

Arizona County Board To Consider Road Program

Source: ENR Southwest

The Yuma County Board of Supervisors will discuss whether to start a public road acceptance and maintenance program when it meets Monday.

The board has discussed the idea of maintaining public streets within subdivisions built before developers were required to construct roads to county standards and dedicate them to the county.

Potential costs were the main drawback cited by some board members. A staff report says the cost of taking over the 126 miles of roadway which fall into this category would run from \$3,200 to \$8,500 per mile.

Staff is recommending possible parameters for accepting such roads into the county maintenance system, such as eligibility criteria, a cap on miles to be accepted per year and not paving dirt roads unless the subdivision forms an improvement district to do so.

The meeting will start 9 a.m. Monday in the Board of Supervisors auditorium, 198 S. Main Street. Other agenda items include:

Canvassing the results of the Nov. 6 general election.

Proposed revisions to the Owner Occupied Housing Rehabilitation Program's policies and guidelines.

Discussion and possible action on the Capital Improvement Projects for 2018-19 in the Yuma County Flood Control District program.

A proposed rezoning for 10 acres near the northeast corner of County 14 1/2 Street and Avenue 4E from Suburban Site Built-5 acre minimum to Suburban Site Built-2-acre minimum. The county Planning and Zoning Commission has recommended approval, but the project has faced some concerns from neighbors.

Awarding a \$227,254 contract for camera replacement at the county justice center to Electronic Security Concepts of Scottsdale.

The purchase of a street sweeper for \$273,814 from Balar Equipment of Phoenix.

A recommendation for Arizona State Liquor Board approval of a Series 12 regular liquor license for Birrieria Del Pueblo and Cantina, 2015 W. 8th St., Yuma.

The meeting will be broadcast on the county's cable channel, Yuma77, and webcast and available for later viewing at www.yumacountyaz.gov and the Yuma County Government Facebook page. Spanish subtitles are shown on rebroadcasts. •

Nov. 29 public meeting on improving mobility south of downtown Tucson

By ADOT Media Relations

PHOENIX – Pima County residents will have another opportunity to comment on plans to improve traffic flow along Interstate 10 and State Route 210 in Tucson during a public meeting in late November.

The meeting is scheduled for 5:30-7:30 p.m. Thursday, Nov. 29, at Apollo Middle School, 265 W. Nebraska St., in Tucson. A formal presentation begins at 6 p.m.

The Arizona Department of Transportation and the Federal Highway Administration are in the second phase of a study to determine how best to improve mobility along Interstate 10 from Interstate 19 to Kolb Road southeast of downtown Tucson. The study also will identify an alignment for an extension to State Route 210 (Barraza Aviation Parkway), which runs southeast from downtown Tucson, that would connect with I-10 and help reduce congestion in that area.

The public meeting will include display boards showing options being considered for both routes. After a brief formal presentation, attendees will have the chance to speak with project team members to ask questions and share ideas.

Public comments will be accepted during the meeting and on the project website, azdot.gov/i10sr210study. You also can call the project hotline at 888.692.2678, email to i10SR210Study@hdrinc.com and mail comments to ADOT Community Relations, 1221 S. Second Ave., Tucson, AZ 85713. To be included in the study record, comments must be received by Dec. 30.

Previous meetings on this project were held in the Tucson area in February 2017.

The first phase of the study, completed in 2015, included an engineering feasibility report that examined future transportation needs and potential corridor improvements to both I-10 and SR 210. This phase, expected to be completed by 2019, includes the preparation of a Design Concept Report and Environmental Assessment.

For more information and opportunities to provide comment on the Interstate 10 and State Route 210 Feasibility Study, visit azdot.gov/i10sr210study. •

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November 29, 2018

Queen Creek OKs Land Buy Near Hunt Highway

By Josh Martinez for Queen Creek Independent

The Queen Creek town manager, attorney and clerk now have permission to pursue the purchase of property off Hunt Highway for future road improvements as part of the Ellsworth Road from Empire Road to Hunt Highway Project.

The road project will include roadway improvement on Hunt Highway for about 2,300 feet south of Empire Boulevard. It will also include improvements for about 1,400 feet on Empire Boulevard.

Those improvements include roadway widening, drainage improvements, curb and gutter, sidewalk landscape and irrigation, street light conduit, traffic signals on Hunt Highway and a box culvert crossing at Sonoqui Wash on the south side of Empire Boulevard.

Read more at [Queen Creek Independent](#).

ADOT Program Attracting Women and Minorities

By Karisma Sandoval for Cronkite News

The Arizona Department of Transportation is working with education and business leaders to change a dismal statistic — more than 90 percent of workers in the construction industry are men, according to the U.S. Department of Labor.

ADOT is working with community college leaders and contractors to integrate more women and minorities into a wide range of construction jobs, including welding, trucking, electrical work and building houses and roads.

Patricia McKinley used the skills she learned at the construction academy to launch KHAVL Transport in 2016 with just one truck. She now has four semi-tractor trailers in the trucking company, which she co-owns with her husband.

McKinley hopes to soon see more women behind the wheel of their own companies.

Corey Foster, who manages ADOT's workforce development program, said bringing women and minorities into the construction academy is filling an industry need for skilled workers.

"A lot of the contractors are concerned that projects are increasing, opportunities are increasing in the Valley and Arizona, but the workforce does not have the skills that they need to go forth," he said. "The academy kind of bridges the gap."

Iris Bost, who graduated from the program, started her own business teaching safety classes for ADOT at a Gila Community College facility in Miami.

Gila Community College offers a hands-on experience to students. They work at various stations, honing such skills such as machinery work, wood building and welding.

Programs are tailored to people's schedules, preferences and how much training they need. Sessions range from one week to 16 weeks and are offered in the evenings. Each person is able to choose specific courses, such as

mathematics or blueprint reading, that correlate to the job they seek.

Graduates receive certifications and all the equipment they need to be successful at their next job.

Read more at [Cronkite News](#).



ADOT Construction Academy grad Iris Bost now teaches safety classes at Gila Community College. Credit: Karisma Sandoval/Cronkite News

DBE News & Events

November 29, 2018

December

January

5 **2018 MAG Interactive Map View Training**
Location: 302 N 1st Avenue, 6th Floor, Phoenix, AZ
Time: 9:00am - 12:00pm

8 **Building Leaders Lunch: Finding Your Why**
Location: 1050 W Washington St., Suite 233, Tempe, AZ
Time: 11:30am - 1:00pm

5 **How to Build Your Dream Business**
Location: 1365 N Scottsdale Rd, #135, Scottsdale, AZ
Time: 7:30am - 9:30am

10 **Construction Activity Forecast**
Location: 700 W. Rio Salado Pkwy., Tempe, AZ
Time: 8:00am - 11:00am

6 **Leading Market Series: Multifamily**
Location: 2100 S Priest Dr, Tempe, AZ
Time: 7:30am - 9:00am

15-17 **Job Order Contracting Certification Program (JOC)**
Location: 1551 S Rural Rd, Tempe, AZ
Time: 7:30am - 2:30pm

6 **ABA 25th Annual Leadership & Membership Lunch**
Location: 2000 W Westcourt Way, Tempe, AZ
Time: 9:00am - 11:00am

16 **Problems on Construction Projects**
Location: 1661 N Swan Rd, #144, Tucson, AZ
Time: 1:00pm - 3:00pm

6 **Pacific Southwest Holiday Reception Arizona**
Location: 8901 E Pima Center Parkway, Scottsdale, AZ
Time: 5:00pm - 8:00pm

16-17 **Project Manager Module 1: Estimating & Job Costing**
Location: 4129 E Van Buren St., Suite 100, Phoenix, AZ
Time: 7:30am - 4:30pm

11 **Building Leaders Lunch: Marketing & Social Media**
Location: 1050 W Washington Street, Suite 233
Time: 11:30am - 1:00pm

17 **Marketing & Business Development – It's a Team Sport**
Location: Phoenix Country Club, 2901 N 7th St., Phoenix, AZ
Time: 11:00am - 1:00pm

11-13 **Tribal Financial Manager Certification**
Location: ASU (McCord Hall) 450 E Lemon St, Tempe, AZ
Time: 8:00am - 5:00pm

25 **Trends Day 2019: Reflect. Rethink. Respond.**
Location: JW Marriott, 5350 E Marriott Dr., Phoenix, AZ
Time: 7:00am - 5:30pm

SAVE THE DATE

CIVIL RIGHTS SYMPOSIUM TRAINING



MARCH 5-8, 2019

REGISTER TODAY!

SAVE THE DATE

VALLEY VOICES

THE STATE OF HIGHER EDUCATION

JANUARY 11, 2019
8:00am - 10:00am

REGISTER TODAY!

Project Opportunities

November 29, 2018

Links

- [ADOT Current Advertisements](#)
- [ADOT Engineering Advertisements](#)
- [ADOT Public-Private Partnership Initiatives](#)
- [ADOT Advertised Alternative Delivery Projects](#)
- [Bidding Opportunities Around the State](#)

Did you know?

ADOT has over 20 projects with estimated values less than \$1 million listed on their [Future Projects](#) list for FY2019. Learn more about how to bid with ADOT as a prime contractor through Business Coach on Demand. An online guide covers the general requirements and bidding procedures.

[Preparing to Bid - Guide to Bidding on ADOT Projects](#)

! ADOT Bid openings after January 1st, 2017 will require prime contractors to submit DBE affidavits within 5 calendar days from bid opening. This is two days less than the current requirement. For example, if bid opens on Friday, the DBE Affidavits will be due to BECO, from the prime contractor, by Wednesday before 4:00 PM. Therefore, this is reducing the time DBEs and prime contractors have to prepare, sign and submit the DBE Affidavits to BECO. DBEs are urged to consider this new time restriction when working with prime contractors to complete and sign the DBE Affidavits in order for them to be submitted to BECO by the 5th calendar day from bid opening.

Featured Federal-Aid Projects

General/Sub Contractors Opportunities						
Due Date	Project Valuation	DBE Goal	Solicitation/ Project Number	Type	Project Owner and Description	Contact
11/30/18 10am MT	\$2.6M	7.19%	STPG-NHPP-089-B(215)T; H872001C	IFB	ADOT - Bridge Replacement, Meath Wash Bridge, Prescott-Ash Fork Hwy (US-89). The work consists of removing & replacing an existing bridge, reconstructing existing bridge approaches & replacing pavement markings.	Contact: Mohammed Patwary, Engineering Specialist, at MPatwary@azdot.gov or James Bramble, Construction Supervisor, at JBramble@azdot.gov
12/7/18 11am MST	\$264K	9.35%	CMAQ-GLN-0(256)T; T007001C	IFB	ADOT - Sidewalk Improvements, Paradise Ln - 55th Ave to 59th Ave, Glendale. The work consists of removal & replacement of concrete sidewalk, ramp, curb, asphaltic concrete & aggregate base, curb & gutter transition, signing & pavement markings.	Contact: Vian Rashid, Engineering Specialist, at VRashid@azdot.gov or Kirk Kiser, Construction Supervisor, at KKiser@azdot.gov
12/7/18 11am MST	\$578K	5.35%	HSIP-087-A(208)T; H883801C	IFB	ADOT - Install Traffic Signal & Modify Median, Ruins Dr at SR87, Picacho-Coolidge-Chandler-Mesa Hwy (SR87). The work consists of installing new traffic signal equipment, median modifications, signing & pavement marking.	Contact: Vian Rashid, Engineering Specialist, at VRashid@azdot.gov or Michael T. Rigby, Construction Supervisor, at MRigby.Consultant@azdot.gov
12/7/18 11am MST	\$4.6M	5.52%	CMAQ-303-A(225)T; F001301C	IFB	ADOT - Construct SR 303L, Phase III ITS: US60 to I-17, Bob Stump Memorial Pkwy. The work consists of the installation of CCTV cameras, dynamic message signs, mainline & ramp detection system, thermal cameras, fiber optic network infrastructure, node building & power services.	Contact: Thomas Mowery-Racz, Engineering Specialist, at tmowery-racz@azdot.gov or John Halvarson, Construction Supervisor, at jhalvarson@azdot.gov

BUSINESS COACH *ON DEMAND*

You've Got Questions? We've Got Answers

**A free resource designed to
make it easier for you to do
business with ADOT!**

Find us at:

<http://www.azdot.gov/businesscoach>

*The answers you need are right at your fingertips 24
hours a day!*

[CLICK HERE to VIEW INFORMATIONAL VIDEO](#)



Connecting with Connect 202

As construction continues on the South Mountain Freeway Project, Connect 202 Partners, LLC (C202P) remains committed to reaching the project's Disadvantaged Business Enterprise (DBE) goals. C202P has developed a DBE Spotlight Recognition Program and each quarter a DBE firm will be recognized for their outstanding contribution to the project.

C202P's 4th Quarter 2018 DBE Spotlight is awarded to Taylor Made Security, LLC. Taylor Made Security has been providing project wide security since the commencement of work. Taylor Made's ability to ensure the safety and security of the site and equipment has been instrumental to the project. The continued responsiveness and schedule flexibility has not gone unnoticed, just another reason why Taylor Made Security deserves this recognition. Congratulations!



Pictured Left to Right: Walter Fedrowitz (Deputy Project Director, C202P), Melissa Abraham (DBE/OJT Compliance Manager, C202P), Brie Taylor (CEO, Taylor Made Security), Walter Lewis (Project Director, C202P), Jeff Charboneau (Safety Manager, C202P), Ron Dukeshier (Construction Manager, C202P)



PROJECT DESCRIPTION

Project Owner:
Arizona Department of Transportation

Project Name:
SR 202L (South Mountain Freeway)

I-10 (Maricopa Freeway) –
I-10 (Papago Freeway)

Public Private Partnership (P3)
Design-Build-Maintain Contract

Project Number:
202 MA 054 H882701 C

Project Substantial Completion Date:
November 2019

DBE Goals:
16.45% Professional Services, 10.93% Construction, 6.08% Capital Asset Replacement Work

Committed DBE Percentages:
Professional Services = **16.76%**
Construction = **11.02%**
Over \$109 Million Dollars Awarded!

Upcoming Procurement Opportunities:

- None at this time

Follow us for up-to-date information on the project.



@SouthMountainFreeway



@Loop202SouthMountainFreeway

On-The-Job Training (OJT) Statistics:

- 154,785 OJT hours on the project
- 194 trainees enrolled into an approved training program
- 25 trainees completing 2,000 hours on the project
- 3 trainees completing 2,000 hours and achieving Journey-Level status

2018-2019 WTS Scholarships

WTS is an international organization dedicated to building the future of transportation through the global advancement of women. WTS is turning the glass ceiling into a career portal through its professional programs, networking opportunities and unparalleled access to industry and government leaders.



Logistical barriers can sometimes stand between worthy candidates and their objectives. The WTS Metropolitan Phoenix Chapter is offering six scholarships totaling up to \$17,500 to assist women who aspire to transportation careers.

The **Helene M. Overly/Esther Kmetty Scholarship** is awarded to a woman pursuing **graduate studies** in transportation who demonstrates a strong commitment to the community. This scholarship amount is \$3,000. The winner will be submitted for consideration for the WTS Foundation scholarship of an additional \$10,000.

The **Sharon D. Banks Memorial Undergraduate Scholarship** is awarded to a woman pursuing an **undergraduate degree**. Applicants must be currently enrolled in a full-time undergraduate degree program in transportation. This scholarship amount is \$2,000. The winner will be submitted for consideration for the WTS Foundation scholarship of an additional \$5,000.

The **Molitoris Leadership Fund for Undergraduates** is awarded to a woman who demonstrates leadership in the transportation industry. Applicants must be currently enrolled in a full-time **undergraduate degree program** in transportation and must specifically address the issue of leadership on their personal statement. This scholarship amount is \$2,000. The winner will be submitted for consideration for the WTS Foundation scholarship of an additional \$5,000.

In partnership with WTS, the **Support Sky Harbor Coalition Scholarship** is awarded to a woman, or women pursuing **undergraduate or graduate studies** in aviation or an aviation-related field. Applicants must demonstrate strong leadership skills and campus and community involvement. This scholarship amount is up to \$5,000.

In partnership with WTS, the **Arizona Transit Association** is offering the **AzTA Transit Planning Scholarship** to a woman pursuing **graduate studies** in urban planning, engineering, public health, public administration or transportation planning with an emphasis in public transportation/multi-modal components. Applicants must have a declared major in the aforementioned studies. This scholarship amount is \$2,500 and includes free participation in all Association events including interface opportunities with the AzTA Board of Directors for the academic year.

The WTS Metropolitan Phoenix Chapter is offering the **Returning Student Scholarship** to a woman transportation professional returning to school to pursue either an **undergraduate or graduate degree** in transportation. The scholarship amount is up to \$3,000.

Scholarship application materials can be found at www.wtsinternational.org/metropolitanphoenix.

Applications must be submitted to **Barbara Ritter at WTSMetroPhoenix@gmail.com by Monday, November 27, 2018.**

