



ADOT DBE Program Requirements ADOT Transit Subrecipients

October 2019

Melanie Peterson, LPA/Sub-recipient Program Manager

Objectives

- ▲ UDSOT DBE Program Video
- ▲ ADOT DBE Program
 - ▲ Disparity Study
 - ▲ DBE Goal
- ▲ Finding DBEs
- ▲ Transit Subrecipients Reporting
 - ▲ Reporting: Why?
 - ▲ Reporting: What?
 - ▲ Reporting: Where?
 - ▲ Reporting: How?
- ▲ Questions



THE USDOT'S DISADVANTAGED BUSINESS ENTERPRISE PROGRAM

Everyone in Compliance - No Sanctions!



DISADVANTAGED BUSINESS ENTERPRISE PROGRAM

- ▲ As a Recipient of Federal Funds, ADOT established and implemented a DBE Program for FHWA, FTA and FAA
- ▲ The ADOT DBE Program applies to all ADOT Federal Projects and its Subrecipients
- ▲ The Business Engagement and Compliance Office (BECO) has oversight of the implementation of the DBE Program
- ▲ BECO monitors for DBE Participation and Compliance
- ▲ Annual overall DBE Participation Goals are established by conducting a Disparity Study every five years

Disparity Study



KEEN INDEPENDENT RESEARCH

Market research - Policy analysis
Business strategy - Implementation
701 N. 1st Street
Phoenix AZ 85004
www.keenindependent.com

ADOT DISPARITY STUDY

The Arizona Department of Transportation engaged Keen Independent Research to help prepare three-year DBE goals for the participation of disadvantaged business enterprises (DBEs) in its USDOT-funded contracts. ADOT will submit new goals to FHWA in spring 2020 that will go into effect on October 1, 2020. The current overall DBE goal is 9.55% for FHWA-funded contracts.

Keen Independent Research will compare the utilization and availability of minority- and women-owned firms for construction, engineering and other transportation contracts and subcontracts. The study team is examining ADOT contracts and those awarded by local public agencies using funds received through ADOT.

The study team will contact businesses by phone in summer 2019 to collect information about their availability for different types of ADOT and local agency projects. Business owners, trade associations and others can also provide insights about the Arizona transportation contracting industry and suggest ways to create a level playing field for DBEs and other small businesses. Customer Research International will conduct these telephone surveys.

This is the third disparity study conducted by ADOT, which is required by USDOT. Keen Independent Research prepared the previous study.

Study Team

Keen Independent Research is a national economic consulting firm with its primary office in Phoenix. David Keen, Principal, is leading this assignment. He has directed more than 100 disparity studies. Mr. Keen has served as an expert witness successfully defending targeted business programs. The study team also includes Holland & Knight, Customer Research International, and Axi Marketing Group and Partners in Brainstorm in Phoenix and Gordley Group in Tucson.

Schedule

The study launched in February 2019 and will be completed in May 2020. ADOT will publish the draft disparity study report and its proposed overall DBE goals for public comment in spring 2020. Anyone can provide input by attending a public meeting or providing written comments.

How to Get Involved

Email: info@adotbestmfr.com

Study website: www.adot.gov/DBEDisparityStudy

Mail information to David Keen, Principal,

Keen Independent Research LLC

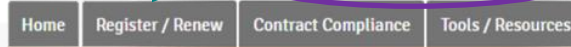
701 N. 1st St., 2nd Floor, Phoenix, AZ 85004

ADOT Proposed Overall DBE Goals

The following goals have been approved for ADOT by the indicated USDOT Funding Agency:

Funding Agency	Federal Fiscal Timeframe	Overall Current DBE Goal	Race Conscious %	Race Neutral %
FHWA	2018-2020	9.55%	4.54%	5.01%
→ FTA	2019-2021	11.00%	N/A	11.00%
FAA	2016-2018	4.87%	N/A	4.87%

Finding DBEs



“AZ UTRACS makes it easy to find qualified firms to bid on our projects all over Arizona—especially where we need certified DBE contractors to meet our federal requirements.”

—ADOT's Prime Contractors and Consultants

Quick Links

- ✓ [Log in to the ADOT DBE System](#)
- ✓ [Log in to ADOT LPA DBE System](#)
- ✓ [Log in to AZ UTRACS registration](#)
- ✓ [Log in to Online Bidders/Proposers List](#)
- ✓ [Log in to ADOT DBE Certification System](#)
- ✓ [Log in to PHX DBE Certification System](#)
- ✓ [Log in to Tucson DBE Certification System](#)
- ✓ [Log in to the ADOT Certified Payroll System](#)
- ✓ [Log in to AZ Procurement Portal\(APP\)](#)

Firm Search

[Quick DBE Search](#)

[Quick SBC Search](#)

[Quick ACDBE Search](#)

[Search Tips](#)

Search Criteria

Firm Name/DBA

Business Description

Contact First Name

Contact Last Name

City

State

ZIP

AZUTRACS Number

☐ DBE

☐ SBC

☐ ACDBE

County firm willing to work in

Firm Type

Professional Work Category

Construction Work Category

Goods And Services

Equipment

[Search](#)

[Clear Search](#)

[Customize Search Results](#)

Firm Search

[Quick DBE Search](#)
[Quick SBC Search](#)
[Quick ACDBE Search](#)
[Search Tips](#)

Search Criteria

☐ DBE

☐ SBC

☐ ACDBE

County firm willing to work in

Firm Type

Professional Work Category

Construction Work Category

Goods And Services

Equipment

[Search](#)
[Clear Search](#)
[Customize Search Results](#)

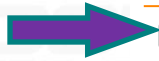
Search Results

[DOWNLOAD SEARCH RESULTS TO EXCEL](#)
[? Why can't I view/export emails and phone numbers?](#)

138 Firms matched this criteria.

Firm Profile	Firm Name		City	State	DBE	SBC	ACDBE
Profile	Turner Engineering Corporation	16231	Tempe	AZ	No	Yes	No
Profile	Aerotek	16127	tempe	AZ	No	No	No
Profile	AdScope Media, LLC	10048	Tempe	AZ	No	Yes	No
Profile	Affirmative Technology Group, Inc.	10057	Tempe	AZ	Yes	Yes	No
Profile	Allwyn Priorities, LLC	15853	Tempe	AZ	No	Yes	No
Profile	Alpha Geotechnical & Materials, Inc.	11334	Tempe	AZ	No	Yes	No
Profile	American Direct Procurement, Inc.	15804	Tempe	AZ	No	No	No

Firm Search



[Quick DBE Search](#)
[Quick SBC Search](#)
[Quick ACDBE Search](#)

[Search Tips](#)

Search Criteria

Firm Name/DBA
 Business Description
 Contact First Name
 Contact Last Name
 City
 State
 ZIP
 AZUTRACS Number

☒ DBE
 ☐ SBC
 ☐ ACDBE

[NAICS Quick Search](#)

Select an Option

[View Selected NAICS Code](#)

Select an Option

NAICS Code

NAICS Description

NAICS Description Keyword

County firm willing to work in

Firm Type

Professional Work Category

Construction Work Category

Goods And Services

Equipment

Select an Option

[Search](#)
[Clear Search](#)
[Customize Search Results](#)

Search Results

[DOWNLOAD SEARCH RESULTS TO EXCEL](#)

[? Why can't I view/export emails and phone numbers?](#)

1142 Firms matched this criteria.



Firm Profile	Firm Name		City	State	DBE	SBC	ACDBE
Profile	1st Choice, LLC	14880	Baltimore	MD	Yes	Yes	No
Profile	1st Resource Solutions, LLC	10001	Marion	IN	Yes	Yes	No
Profile	24-7 Consulting, LLC	14640	Tallahassee	FL	Yes	Yes	No
Profile	4 Consulting, Inc.	16650	Dallas	TX	Yes	Yes	No
Profile	480 Design, LLC	14868	Phoenix	AZ	Yes	Yes	No
Profile	4Sight Technologies	15027	Phoenix	AZ	Yes	Yes	No
Profile	720 Design Inc.	16281	DALLAS	TX	Yes	Yes	No
Profile	A & E Enterprise Management, LLC	16402	La Place	LA	Yes	Yes	No



	<input checked="" type="checkbox"/> DBE	<input type="checkbox"/> SBC	<input type="checkbox"/> ACDBE
	<input type="button" value="NAICS Quick Search"/>		
	NAICS Code	<input type="text" value="113210"/>	<input type="button" value="View Selected NAICS Code"/>
	NAICS Description	<input type="text" value="Select an Option"/>	
	NAICS Description Keyword	<input type="text"/>	
	County firm willing to work in	<input type="text" value="All"/>	
	Firm Type	<input type="text" value="All"/>	
	Professional Work Category	<input type="text" value="All"/>	
	Construction Work Category	<input type="text" value="All"/>	
	Goods And Services	<input type="text"/>	
	Equipment	<input type="text" value="Select an Option"/>	
	<input type="button" value="Search"/> <input type="button" value="Clear Search"/> <input type="button" value="Customize Search Results"/>		

Firm Search

[Quick DBE Search](#)
[Quick SBC Search](#)
[Quick ACDBE Search](#)
[Search Tips](#)

Search Criteria

Firm Name/DBA
 Business Description
 Contact First Name
 Contact Last Name
 City
 State
 ZIP
 AZUTRACS Number

☒ DBE ☐ SBC ☐ ACDBE

[NAICS Quick Search](#)

NAICS Code

[View Selected NAICS Code](#)

NAICS Description

NAICS Description Keyword

County firm willing to work in

Firm Type

Professional Work Category

Construction Work Category

Goods And Services

Equipment

[Search](#)
[Clear Search](#)
[Customize Search Results](#)

Search Results

[DOWNLOAD SEARCH RESULTS TO EXCEL](#)

[Why can't I view/export emails and phone numbers?](#)

1 Firms matched this criteria.

Firm Profile	Firm Name	AZUTRACS #	City	State	DBE	SBC	ACDBE
Profile	Paul Bunyan's Firewood, Inc.	14840	Guadalupe	AZ	Yes	Yes	No

Paul Bunyan's Firewood, Inc.

Firm Information ▼

Firm Name: Paul Bunyan's Firewood, Inc.
DBA Name:
Firm Website:
Firm Address: 5917 E Calle Guadalupe
 Guadalupe, Arizona 85283

Firm County Location: Maricopa
Firm Work Territory: [View Map](#)

Primary Contact: Gary Jordan
Firm Phone: 480-820-0633
Firm Fax: 480-491-7737
Firm Email: PAULBUNYANS@COX.NET

Secondary Contact:
Secondary Phone:
Secondary Email:

Firm Self Description ▼

Firewood and cooking wood sales, logging, 113210, 423990

Firm Registrations and Certifications ▼


AZ UTRACS Number: 14840
AZ UTRACS Renewal Date: 6/22/2019

DBE Certified: Yes
ACDBE Certified: No
SBC Registered: Yes

DBE/ACDBE Certified NAICS Codes and Descriptions ▼

[423990] - Firewood merchant wholesalers / [113210] - Forest Nurseries and Gathering of Forest Products

Firm Capabilities ▼

 [Learn More](#)

Work Category Name
 Supplier/Broker - Construction Materials and Equipment (No
 installation)

Transit Subrecipient Reporting

When?

- ▲ ADOT must report on a semi-annual basis to FTA all contracts that have Federal funds along with the DBE Participation
- ▲ Deadlines
 - 1st Reporting Deadline is September 31st for April 1st through September 31st
 - 2nd Reporting Deadline is March 30th for October 1st through March 30th
- ▲ BECO compiles the system data into semi-annual reports that will be submitted to FTA administration

Transit Subrecipient Reporting

What?

-  Contracts awarded
-  Prime and subcontract payments including lower-tier activity
-  DBE participation

Transit Subrecipient Reporting

Where?

- ▲ Log into the ADOT LAP DBE System at <https://arizonalpa.dbesystem.com/>
- ▲ Username is your email address
- ▲ For Password and Account support; select the “LOG IN” Button in the center of the screen



Transit Subrecipient Reporting

How?

-  Contract Management: Using the LPA DBE System
-  Contract Management: Payment Reporting

Transit Subrecipient Reporting

Contract Management

Using the LPA DBE System



Everyone in Compliance - No Sanctions!

Transit Subrecipient Reporting

Contract Management



Payment Reporting Guide for Subrecipients





Melanie Peterson
LPA/Sub-recipient Program Manager

1801 W Jefferson, Suite 101
Phoenix, AZ 85007

Office: (602) 712-7711

Email: mpeterson2@azdot.gov