

## Audit and Oversight Procedures

### Agency Document Approved By ADOT LPA Section

- Audit and Oversight Procedures

Submit to ADOT LPA Section before February 23, 2024

### Questions to Answer

Question	Y/N	Document, Page, Paragraph <i>(To be completed by CA)</i>	Check if changed since 2019 <i>(CA to complete)*</i>	Item of Concern <i>(SME use only)*</i>
Do the Audit and Oversight Procedures address the following:				
Conducting independent project reviews on construction projects?				
Conducting an annual Single Audit as required by 2 CFR § 200.501?				
Submitting the Single Audit electronically to the Federal Audit Clearinghouse (FAC) no later than nine months after the Agency's fiscal year end as required by 2 CFR § 200.512?				
Maintaining review and audit records, including findings and corrective actions?				
ADOT and FHWA having sole discretion to inspect CA Agency, contractor, and subcontractor documents, (to include read only access to program software, when applicable) and visit the construction site at any time?				
Supplying documentation to ADOT and FHWA when requested?				

**The Certification Acceptance Agency attests to the following (check the following applicable box):**

 No changes made since 2019

 The following changes since 2019 are noted above

Agency – Prepared by:	
Signature	
Printed Name	
Title	
Date	

ADOT – Approved by:	
Signature	
Printed Name	
Title	
Date	

<b><i>*Item of Concern Documentation:</i></b>
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