

2024 State Management Plan - Notice for Public Comment

The Federal Transit Administration (FTA) provides financial assistance to states through a number of programs to develop new transit systems and improve, maintain, and operate existing systems. FTA requires that each state adopt policies and procedures to be used in administering FTA Title 49 USC Sections 5310, 5311, and 5339 grant programs and produce a plan describing the state's policies and procedures for the state-managed portion of these programs. Arizona DOT has also included all FTA funding managed by ADOT MPD Transit in the plan.

This State Management Plan for Federal Transit Administration Public Transportation Programs describes Arizona DOTs administrative procedures for these FTA programs. The Arizona DOT MPD Transit Group is providing notice to our stakeholders of the issuance of the draft plan and seeks comment on the plan.

The purpose of this document is to inform FTA, subrecipients, and the public as to Arizona DOTs role in the administration of FTA funds. The FTA programs covered by this plan under 49 USC are:

- § 5303/5304 Metropolitan and Statewide Planning Programs
- § 5307 Urbanized Area Formula Program
- § 5310 Enhanced Mobility of Seniors and Individuals with Disabilities Program
- § 5311 Rural Area Formula Program
- § 5311(b)(3) Rural Technical Assistance Program (RTAP)
- § 5339 Bus and Bus Facilities Program

This plan provides information on the management of FTA programs, with emphasis on Sections 5310, 5311, and 5339. The Department adheres to all FTA requirements that are set out in the respective program guidance Circulars published by the FTA or exceeds FTA requirements where appropriate.

The SMP can be found at the following link on the ADOT MPD Transit website: (<https://azdot.gov/planning/transit-programs-and-grants>) Additionally the state has developed a summary of changes to assist in reviewing the SMP(see next page). ADOT MPD Transit invites and encourages comments to the plan to be submitted to Tina Munoz, TransitGroup@azdot.gov, 480-482-8390 by November 30, 2024.

After the public comment period, Arizona DOT will submit the plan to FTA for their review and approval.

Summary of changes - SMP update 2024

I. Introduction

- Section C - Agency Mission and Direction - updated to reflect current information
- Section D. Transit Program Goals and Objectives - updated in accordance with 2050 Long Range Transportation Plan
- Section E. Roles and Responsibilities - Transportation Planning Agencies - updated to reflect current listing of UZAs and planning agencies.
 - Added information regarding the use of Consolidated Planning Grants for Transit Planning funding.
- Section F State Safety Oversight - updated section in compliance with Part 674 and IIJA requirements.

III FTA Section 5305 Metropolitan and Statewide Planning programs

- Updated Section 5305d Metropolitan Planning program section to identify the following:
 - Updated the number of MPOs in the state.
 - Added Bullhead City MPO
 - Added language regarding Consolidated Planning Grant

IV. FTA Section 5310: Coordinated Mobility Program

- Section 5310, Enhanced Mobility of Seniors and Individuals with Disabilities Program into updated as follows:
 - Elderly is defined as age 65 or older.
 - Identified that 5310 funding managed by ADOT is not available in the Phoenix/Mesa UZA or the West Phoenix UZA. 5310 funds for these two UZAs is managed by the City of Phoenix.
- Surface Transportation Block Grant (STBG) - added information regarding the transfer and use of this funding.
- Emergency Funding - added a section to discuss the award and use of emergency funding.
- H. Reimbursements - clarified that a system generated ledger must be provided or detailed back up is no system generated ledger and all reimbursements must be submitted through E-Grants.
- O. NTD Reporting - added guidance regarding NTD reporting for 5310 agencies open to the public.

V. FTA Section 5311: Formula Grants for Rural Areas

- Emergency Funding - added a section to discuss the award and use of emergency funding.
- H. Project Selection Criteria and Program of Projects - added discussion regarding ADOT has the option to award 5305 Transit Planning funding or 5339 Bus and Bus Facility Funding during the 5311 application cycle.

VI. FTA Section 5339: Bus and Bus Facilities

Sections 5339 and 5307

- Clarified eligibility for 5307 Small urban Direct Recipients and ADOT 5311

Subrecipients.

- 5339 may be awarded by ADOT in the 5311 Application Cycle or the 5307/5339 competitive application cycle

VII. Grant Administration

- No major revisions

VIII. Project Management

A. Technical Oversight of Capital Projects

- Clarification is provided that ADOT procures most vehicles for ADOT Subrecipients. Only ADOT subrecipients that are also FTA Designated or Direct Recipients may procure vehicles on their own.

IX. Financial Management and Financial Capacity

- Updated this section to the current 5 year transportation program

X. Procurement

- C. Rolling Stock
 - ADOT Procurement is responsible for issuing the statewide vehicle procurement RFPs and Contracts.
 - Initial vehicle inspection may be conducted by ADOT or a Contractor.

XI. Disadvantaged Business Enterprise

- Updated link to current ADOT DBE Plan.
- New DBE effective 10/1/2024 will be added after the public comment period.
- Procurement
 - Subrecipients must set up their procurement in AZUTRACS and require a “Bidders List” from responders.

XII. Asset Management

- Vehicle and/or Equipment Disposition
 - Section updated to reflect current ADOT and FTA requirements

XIII. Charter Bus/School Bus Regulations

- Updated links

XIV. Americans with Disabilities Act

- Link updated to ADOT external ADA policy

XV. Title VI

- Updated links to FTA Civil Rights website, ADOT Civil Rights website, circulars and ADOT Title VI Plan.

XVI. Equal Employment Opportunity

- Updated link

XVII. DFWA/Drug and Alcohol Program

- Updated links