

Arizona State Match Advantage for Rural Transportation (AZ SMART) Fund Application Form

Please read the entire [Request for Grant Applications and Agreement](#) ("RFGAA") before starting this Application. The Application must be completed in a single sitting and the RFGAA contains a PDF version of this application to assist in developing the required responses, information and documents. **The [Application Instructions](#) provide additional information and guidance, and should be consulted in completing the Application.** To ensure the Application is Administratively Complete and will be presented to PPAC and the Board, please respond to all questions and submit all requested documents.

Required Documents: The following documents are required and should be assembled in advance of completing this application. ***These documents must be emailed to azsmart@azdot.gov and the names of the files identified as requested in this application.*** Documents may be sent in multiple emails. Failure to submit all documents will result in an incomplete application which will not be reviewed, retained or considered.

1. Applicant audited financial statements for the 3 most recent years.
2. If Applicant is a corporation: executed legal organizing documents such as Articles of Incorporation and IRS Determination Letter of non-profit status if a non-profit.
3. Project map clearly identifying the road(s) with beginning and ending termini or study area.
4. COG/MPO approval letter required by ARS §28-399.H.
5. Evidence of public support for the project (approved TIP, general plan or public meeting minutes)
6. Executed governing body resolution.
7. Scoping letter, project assessment or other technical documentation.
8. Project budget using the required [AZ SMART Cost Estimate Tool](#) for design and construction. Planning projects may submit another format with detailed expenditures. Lump sums are not acceptable.
9. Procurement solicitation, if requesting reimbursement of DOES or Match expenditures incurred after September 14, 2024.
10. Signed Offer and Acceptance form.
11. Up to five letters of support.

Clearly identify any trade secrets or other proprietary information which is to remain confidential. Stamp or mark the pages/information with "**CONFIDENTIAL**" in bold capital letters.

* Indicates required question

1. Email *

Applicant Information

2. Name of Applicant *

INSTRUCTIONS: Provide the full legal name of the Applicant. This must be the entity that has provided the governing body resolution, is entering into this [Request for Grant Application and Agreement](#), is financially responsible for the Project, and *will be applying for the Federal Grant identified in this application*. AZ SMART awards are specific to the Applicant and may not be transferred from one entity to another without further governing body and State Transportation Board approval.


3. Applicant type *

INSTRUCTIONS: Select only one. "Non-governmental entity" means individuals, associations, clubs, corporations, any other private entity, or any organizations which are not cities/towns, counties, Tribal Nations, political subdivisions of this State, or ADOT. A non-governmental entity must be identified in the Notice of Funding Opportunity (NOFO) or federal statute as an eligible applicant for the Federal Grant identified in this application.

Mark only one oval.

- ☐ Municipality
- ☐ County
- ☐ Tribal Nation
- ☐ Metropolitan Planning Organization (MPO)
- ☐ Other Political Subdivision
- ☐ Non-governmental entity, including Councils of Governments (COG)
- ☐ Other:

4. Applicable COG/MPO *

INSTRUCTIONS: Select the COG/MPO in which the Project is located. This will be the same COG/MPO providing the required letter of approval. If Project  Dropdown crosses COG/MPO boundaries, select the one in which the greatest percentage of the Project is located.

Mark only one oval.

- ☐ Bullhead City Metropolitan Planning Organization (BHCMPO)
- ☐ Central Arizona Governments (CAG)
- ☐ Central Yavapai Metropolitan Planning Organization (CYMPO)
- ☐ Flagstaff Metropolitan Planning Organization (MetroPlan)
- ☐ Lake Havasu Metropolitan Planning Organization (LHMPO)
- ☐ Northern Arizona Council of Governments (NACOG)
- ☐ Sierra Vista Metropolitan Planning Organization (SVMPO)
- ☐ Southeastern Arizona Governments Organization (SEAGO)
- ☐ Sun Corridor Metropolitan Planning Organization (SCMPO)
- ☐ Western Arizona Council of Governments (WACOG)
- ☐ Yuma Metropolitan Planning Organization (YMPO)

5. Federal Discretionary Grants awarded in last 2 years *

INSTRUCTIONS: Identify any Federal Discretionary Grant(s) awarded in the last 2 years, including the name of the project(s) and year(s) and amount(s) awarded, or enter "NA." Federal Discretionary Grants include Local and Regional Project Assistance (RAISE), Safe Streets for All (SS4A), and many others *awarded by a federal agency* (not ADOT or a COG/MPO). **DO NOT include** formula federal aid such as Surface Transportation Block Grant (STBGP), Highway Safety Improvement Program (HSIP), Transportation Alternatives (TA), Off System Bridge (OSB), appropriations from the Arizona Legislature, or Congressionally Directed Spending (federal earmarks). Limited to 500 characters, including punctuation and spaces. **Example response:** First Avenue and Central St intersection, RAISE, 2024, \$12,600,000

6. Formula federal aid projects funded in last 2 years *

INSTRUCTIONS: Identify any formula federal aid projects funded in the last 2 years, including the name of the project(s), year(s) and amount(s) received, or enter "NA." Formula federal aid includes Surface Transportation Block Grant (STBGP), Highway Safety Improvement Program (HSIP), Transportation Alternatives (TA), Off System Bridge (OSB), etc. *provided by ADOT or a COG/MPO* (not a federal agency). **DO NOT include** appropriations from the Arizona Legislature, Congressionally Directed Spending (federal earmarks) or Federal Discretionary Grants. Limited to 500 characters, including punctuation and spaces. **Example response:** Main Street from Pine Ave to Willow Rd, HSIP, 2023, \$320,000

7. Applicant financial statements for last 3 fiscal years. *

INSTRUCTIONS: Enter links to the audited financial statements. If not online, email azsmart@azdot.gov and enter the name(s) of that electronic file(s) below. Data from the financial statements will be used to calculation the Quick Ratio for Applicants requesting approval to self-administer design or those intending to be Direct Recipients for Federal Grants. Failure to provide this information will result in an incomplete application which will not be reviewed, retained or considered.

8. Legal organizing documents (non-governmental entities only)

INSTRUCTIONS: Email the entity's legal organizing documents such as Articles of Incorporation to azsmart@azdot.gov and enter the name of that electronic file below. Failure to provide this information will result in an incomplete application which will not be reviewed, retained or considered.

9. IRS Determination Letter (nonprofits only)

INSTRUCTIONS: If a non-profit, email the IRS Determination Letter to azsmart@azdot.gov and enter the name of that electronic file below. Determination Letters may be available online at the [IRS website](#). Failure to provide this information will result in an incomplete application which will not be reviewed, retained or considered.

Applicant Contacts

All contact information must be provided with the exception of the Consultant.

10. Authorized Representative Name and Title *

INSTRUCTIONS: This individual is the person authorized by the Applicant governing body to sign the Offer in this RFGAA and commit the Applicant to a contractual relationship. The Authorized Representative must have legal authority to sign binding contracts, including the [Request for Grant Application and Agreement](#), on behalf of the Applicant.

11. Authorized Representative 10-digit office phone number *

INSTRUCTIONS: Please include the extension, if any.

12. Authorized Representative work mailing address *

13. Authorized Representative email address *

14. Authorized Representative Certification *

INSTRUCTIONS: The Authorized Representative is required to certify he/she has read and understands both the [RFGAA](#) and the most current document on the [Questions and Answers page](#) which is the only official source of updates, clarifications and revisions to the [RFGAA](#). The most recent version of this information will be incorporated into the RFGAA.



Mark only one oval.

☐ I certify I have read and understand both the RFGAA and the Questions and Answers on the AZ SMART website.

15. Applicant's **Project Administration Contact** Name and Title *

INSTRUCTIONS: This is the individual who will work with ADOT on the study, design, or construction of the Project identified in this application.

16. Applicant Project Administration Contact 10-digit office phone number *

INSTRUCTIONS: Please include the extension, if any.

17. Applicant Project Administration Contact work mailing address *

18. Applicant Project Administration Contact email address *

19. Applicant's **Agreement Administration Contact** Name and Title *

INSTRUCTIONS: This is the individual ADOT will contact regarding contractual matters related to the [RFGAA](#).

20. Applicant Agreement Administration Contact 10-digit office phone number *

INSTRUCTIONS: Please include the extension, if any.

21. Applicant Agreement Administration Contact work mailing address *

22. Applicant Agreement Administration Contact email address *

23. Applicant's **Financial Administration Contact** Name and Title *

INSTRUCTIONS: This individual is the individual ADOT will contact regarding invoices and other financial matters related to the [RFGAA](#).

24. Applicant Financial Administration Contact 10-digit office phone number *

INSTRUCTIONS: Please include the extension, if any.

25. Applicant Financial Administration Contact work mailing address *

26. Applicant Financial Administration Contact email address *

27. Applicant's **Consultant Contact** Name, Company and Title

INSTRUCTIONS: Provide this information only if the AZ SMART application is being prepared by a consultant. Skip this contact if not applicable.

28. Applicant Consultant Contact 10-digit office phone number. Skip if not applicable.

INSTRUCTIONS: Please include the extension, if any.

29. Applicant Consultant Contact work mailing address.

30. Applicant Consultant Contact email address.


Project Details

31. Project Name *

INSTRUCTIONS: Enter the name(s) of street/route, etc. and the beginning and ending termini or other site specific information which will enable the project to be identified on a map. Limited to 250 characters, including spaces and punctuation. **DO NOT use** a marketing/branding name such as Downtown Infrastructure Improvements and **DO NOT include** any other type of information. Please follow the FHWA Project Naming Guidelines included in the [RFGAA](#).

32. Functional Classification of street/route *

INSTRUCTIONS: For assistance in determining the Functional Classification, see the [ADOT Functional Classification](#) map. Select “Not Applicable” for other projects

 Dropdown

Mark only one oval.

- ☐ Principal Arterial - Interstate
- ☐ Principal Arterial - Freeway
- ☐ Principal Arterial - Other
- ☐ Minor Arterial
- ☐ Major Collector
- ☐ Minor Collector
- ☐ Local
- ☐ Not applicable

33. Project Type *

INSTRUCTIONS: Select one only. If the desired project type is not listed, select Other and provide a BRIEF description of no more than 25 characters, including spaces and punctuation.

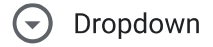
NOTE REGARDING MULTIMODAL PROJECTS: AZ SMART may only fund road, bridge, transit or rail components of a Multimodal project. This AZ SMART application should address only the eligible components of a multimodal project.

Mark only one oval.

- ☐ Road
- ☐ Bridge
- ☐ Transit
- ☐ Rail
- ☐ Multimodal
- ☐ Bike/pedestrian
- ☐ Plan, Study, etc.
- ☐ Other: _____

34. Project Funding Category *

INSTRUCTIONS: Identify in which of the following categories the project is located. Select one only. If Project crosses a city or county boundary, select the Funding Category in which the greatest percentage of the Project is located. The ADOT system option is reserved for ADOT applications only and should not be used by other applicants.



NOTE: Select a County option for projects located in *unincorporated* areas of a County. The County population is based on the total from the most recent decennial census, including in cities, towns and unincorporated areas.

Mark only one oval.

- ☐ Municipality over 10K population
- ☐ Municipality under 10K population
- ☐ County over 100K population
- ☐ County under 100K population
- ☐ ADOT system (ADOT applications only)

35. Project Map *

INSTRUCTIONS: Email a PDF map clearly identifying Project location (route/street with beginning and ending termini noted) or study area to azsmart@azdot.gov and enter the name of that electronic file below. Failure to provide this information will result in an incomplete application which will not be reviewed, retained or considered.

36. Required COG/MPO approval letter *

INSTRUCTIONS: Email the required approval letter from the COG/MPO in which the largest share of the project is located to azsmart@azdot.gov and enter the name of that electronic file below. The letter must be on COG/MPO letterhead, indicate *approval* of the project and be signed and dated. Failure to provide this information will result in an incomplete application which will not be reviewed, retained or considered.

37. Evidence of Public Support *

INSTRUCTIONS: Identify the document which includes the Project - select only one. TIPs, CIPs and General Plans must be *approved*, not draft or pending approval. The Priority Criteria scores (15 points maximum) for each option are identified below.

 Dropdown

Mark only one oval.

- ☐ Currently approved Regional or Tribal Transportation Improvement Program OR the currently Approved ADOT 5-yr Program (15 points)
- ☐ Currently approved Municipal or County General Plan, Capital Improvement Program or other current, publicly adopted jurisdictional capital plan (10 points)
- ☐ Minutes of a public meeting or study session of jurisdiction in which Project is located (5 points)
- ☐ Project is not in an adopted planning document and/or has not been discussed in public meetings or study session (0 points)

38. Link to Public Support document *

INSTRUCTIONS: Provide a web link to the document evidencing public support for the Project *and identify the page number on which the project is listed*. If not posted on the internet, email the document to azsmart@azdot.gov and enter the name of that electronic file below. Failure to provide this information will result in an incomplete application which will not be reviewed, retained or considered.

39. Governing body resolution *

INSTRUCTIONS: Email the governing body resolution to azsmart@azdot.gov and enter the name of that electronic file below. The resolution must be approved, dated and signed. Failure to provide this document will result in an incomplete application which will not be reviewed, retained or considered.

NOTE: A [Sample Resolution](#) is provided in the RFGAA. Applicants may adapt the style of the resolution as desired. However, **all provisions identified in the Sample Resolution shall be addressed in the Applicant's resolution**, with the exception of the approval as to form, unless required or desired by the Applicant.

40. Project Purpose *

INSTRUCTIONS: This is one of the most important elements of the application. What problem does this Project address? Response should be as succinct and objective as possible. **DO NOT repeat** information provided in the Project Need or Scope. See FHWA's [Purpose, Need and Alternatives](#) for further information and guidance. Limited to 1000 characters, including punctuation and spaces.

41. Project Need *

INSTRUCTIONS: This is one of the most important elements of the application. Provide **DATA** which substantiates the need for the project such as crash data, average daily traffic, or other applicable statistics. Response should be as succinct and objective as possible. **DO NOT repeat** information provided in the Project Purpose or Scope. See FHWA's [Purpose, Need and Alternatives](#) for further information and guidance. Limited to 1000 characters, including punctuation and spaces.

42. Safety Improvements Strategies *

INSTRUCTIONS: What safety strategies are addressed by the [Project](#)? See the [Appendix](#) to these Application Instructions for strategies in the 2024 [Strategic Highway Safety Plan](#). Check all that apply. To identify strategies not listed in the options provided, check the Other box and enter the specific strategy(ies) on the Other line. Safety improvements addressing the selected strategy(ies) must be identified in the Project Scope.

NOTE: This question is worth up to 15 points in the Priority Criteria. See the [RFGAA](#) for further information on points awarded for these options.

Check all that apply.

- ☐ Safety of vulnerable road/non-motorized users
- ☐ Intersection safety
- ☐ Roadway lane departures
- ☐ Project does not address safety improvements
- ☐ Other: _____

43. Project Scope *

INSTRUCTIONS: This is one of the most important elements of the application. Clearly identify the specific work elements to be undertaken, including safety improvements. **DO NOT repeat** information provided in the Project Purpose and Need. See the Application Instructions in the [RFGAA](#) for further information and guidance. Limited to 2000 characters, including punctuation and spaces.

44. Scoping Letter or Project Assessment *

INSTRUCTIONS: Please email the Scoping Letter, Project Assessment, or other technical documentation describing the Project to azsmart@azdot.gov and enter the file name below. **DO NOT** give general descriptions. Provide as much technical detail as possible, including study/plan components, expected treatment types, lengths, locations, etc. Failure to provide this information will result in an incomplete application which will not be reviewed, retained or considered.

45. Right of Way *

INSTRUCTIONS: If the Project is:

- Completely contained in Applicant's Right of Way, check box #1.
- Involves any Right of Way owned by others, check box #2 AND the "Other" box. In the Other field, identify the owners, parcel numbers, status of discussions or approvals from each owner and any known issues.
- Non-infrastructure, check box #3.

NOTE: Applicants are responsible for identifying Right of Way ownership and issues before applying. Failure to provide this information will result in an incomplete application which will not be reviewed, retained or considered.

Check all that apply.

- ☐ 1. Project is completely contained in Applicant's right of way
- ☐ 2. Project involves right of way owned by others (see Instructions above for this question)
- ☐ 3. Not applicable (non-infrastructure projects only)
- ☐ Other: _____

46. Environmental and other impacts *

INSTRUCTIONS: Identify any tribal or federal lands, protected species, wetlands, etc. involved or impacted by the project. Briefly summarize the physical/natural environmental, socioeconomic and cultural impacts of the project. **DO NOT repeat** information provided under Project Purpose, Need, Scope, etc. Limit response to 1,000 characters, including spaces and punctuation. Failure to provide this information will result in an incomplete application which will not be reviewed, retained or considered.

47. Railroad impacts *

INSTRUCTIONS: If Project is located within 1/2 mile of a railroad, identify the railroad, area/crossing involved, anticipated impacts and the status of discussions, negotiations and clearances with the railroad company. If none, enter "None". Limit response to 1,000 characters, including spaces and punctuation. Failure to provide this information will result in an incomplete application which will not be reviewed, retained or considered.

48. Utility impacts *

INSTRUCTIONS: If Project will impact utilities, identify each utility involved, anticipated impacts and the status of discussions, negotiations and clearances with the utility company. If none, enter "None". Limit response to 1,000 characters, including spaces and punctuation. Failure to provide this information will result in an incomplete application which will not be reviewed, retained or considered.

49. Irrigation facility impacts *

INSTRUCTIONS: If Project will impact an irrigation facility, identify the owner and specific facility involved, anticipated impacts and the status of discussions, negotiations and clearances with the facility owner. If none, enter "None". Limit response to 1,000 characters, including spaces and punctuation. Failure to provide this information will result in an incomplete application which will not be reviewed, retained or considered.

50. Project Schedule *

INSTRUCTIONS: Check only one box in each row to indicate the current status of each phase. Planning, studies and non-infrastructure projects - check the boxes under Not Applicable for all rows.

Check all that apply.

	Not started	In progress	Completed	Not Applicable
Scoping (up to 15% design)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Design	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Right of Way	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Utilities/Railroads	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Environmental	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Construction	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

51. Expected construction FISCAL year *

INSTRUCTIONS: Enter the state FISCAL year (runs July 1 through June 30) in which construction is *reasonably expected to begin*. Consider time required to design the project, apply for a federal grant, federal agency processing and award notification and execution of a federal grant agreement. See section B.4.w. of the [Application Instructions](#) for a sample timeline. If non-infrastructure, enter "NA".

NOTE: The expected construction fiscal year will generally also be the Year of Expenditure (YOE) for AZ SMART projects.

52. Construction cost estimate in YOE dollars *

INSTRUCTIONS: On the *Itemized cost estimate tab* in the [AZ SMART Cost Estimate form](#), use the YOE calculator to escalate the current estimated cost of the project to the expected construction fiscal year identified in the previous question. Enter the YOE estimate below in whole numbers (for example, 250000). If non-infrastructure, enter "Not applicable".

NOTE: The YOE construction estimate should be the amount reflected in the TIP in the expected construction fiscal year.

53. Project Budget *

INSTRUCTIONS: This is one of the most important elements of the application. Thoroughly complete the required [AZ SMART Cost Estimate Tool](#) showing the Project Budget, email it to azsmart@azdot.gov and enter the file name below. **DO NOT** delete rows or columns, revise formulas or otherwise change the AZ SMART Cost Estimate Tool file. Non-infrastructure cost estimates may use another format but must be broken out by work component. **Lump sum budgets will not be accepted.** See the Application Instructions in the [RFGAA](#) for important information and guidance. Failure to provide this information will result in an incomplete application which will not be reviewed, retained or considered.

NOTE: The amounts shown in the Project Budget should match the TIP, Capital Improvement Plan or other documents provided to demonstrate evidence of public support.

54. Source of cost estimates *

INSTRUCTIONS: If "Other" is selected, identify the **source and date** of the cost estimate.

Mark only one oval.

- ☐ Developed by the Applicant within the last 6 months
- ☐ Developed by the Applicant more than 6 months ago
- ☐ Developed by an engineering consultant within the last 6 months
- ☐ Developed by an engineering consultant more than 6 months ago
- ☐ Other: _____

55. Project Development Administration fees *

INSTRUCTIONS: If applying for DOES or Match for a federal grant that will fund any portion of design, indicate if the Project Budget includes *initial* ADOT Project Development (PDA) fees of \$50,000. If non-infrastructure or no design work is contemplated, enter "Not applicable".

NOTE regarding PDA fees: ADOT will generally administer DOES projects (unless otherwise determined) and PDA fees will apply. *Initial* PDA fees of \$50,000 are eligible for AZ SMART funding **only if they are included in the Project Budget** submitted with the AZ SMART application. ADOT may recommend a different initial amount during the Courtesy Technical Review. Any initial amount is an estimate only and additional PDA fees may be required. By submitting this application, the Applicant understands the Project may require, and ADOT may bill, additional PDA fees and agrees to pay such fees. Any fees not required for the Project will be refunded to the Applicant upon approval of the Project final voucher.

Mark only one oval.

- ☐ Yes
- ☐ No
- ☐ Not applicable

56. Project's long-term impact *

INSTRUCTIONS: This question is worth 5 points in the Priority Criteria - see the [RFGAA](#) for further information. Responses are limited to 1000 characters, including punctuation and spaces. Failure to provide this information will result in an incomplete application which will not be reviewed, retained or considered.

- **Infrastructure Projects** - Identify the estimated costs to operate and maintain the Project and the sources of the funding for these activities.
- **Non-infrastructure Projects** - Identify how and when the study, plan, etc. will be implemented.

AZ SMART Request

ARS §28-339.D requires DOES and Match expenditures to meet Federal Standards, which has been defined in the [RFGAA](#) (see Definitions). Applicants should not request funding for expenditures that do not, or will not, meet Federal Standards. Direct Recipients and self-administering Applicants are required to provide contract(s) or solicitation(s) under which DOES or Match expenditures were procured.

NOTE: AZ SMART funding requests must be documented and identified in the Project Budget submitted with this Application.

57. GDS \$ requested

INSTRUCTIONS: Enter amount requested for Grant Development and Submission (GDS). The amount should be **no greater than 50% of the actual costs** to develop and submit the Federal Grant. Enter in whole dollars (for example, 10000 for a grant application expected to cost \$20,000). Skip if not requesting GDS.

NOTE: This Eligible Use is limited to Projects located in counties with population under 100,000 and municipalities with population under 10,000. Enter in whole dollars (for example, 250000).

58. GDS \$ purpose

INSTRUCTIONS: Identify if the requested GDS funding will be used to reimburse prior GDS expenditures for this Project or to fund development/submission of a future Federal Grant application. Skip if not requesting GDS.

NOTE: Eligible Expenditures for GDS are those incurred after September 14, 2024. If awarded GDS funds, Applicant will be required to submit invoices and proof of payment for reimbursement.

Mark only one oval.

- ☐ Reimburse prior GDS expenditures for a Federal Grant application for this Project.
- ☐ Develop/submit a future Federal Grant application for this Project.

59. DOES \$ requested

INSTRUCTIONS: Enter amount requested for Design and Other Engineering Services (DOES). The amount must be estimated in the Project Budget submitted with the Application. Enter in whole dollars (for example, \$250,000). Skip if not requesting DOES.

NOTE regarding PDA fees: ADOT will administer DOES projects (unless otherwise determined) and PDA fees will apply. *Initial* PDA fees of \$50,000 are eligible for DOES **only if included in the Project Budget** submitted with the AZ SMART application. ADOT may recommend a different initial amount during the Courtesy Technical Review. Any *initial* amount is an estimate only and additional PDA fees may be required. By submitting this application, the Applicant understands the Project may require, and ADOT may bill, additional PDA fees and agrees to pay such fees. Any fees not required for the Project will be refunded to the Applicant upon approval of the Project final voucher.

60. Match \$ requested

INSTRUCTIONS: Enter amount requested for Match for expenditures meeting Federal Standards as defined in the [RFGAA](#). Enter in whole dollars (for example, \$65,000). Skip if not requesting Match.

NOTE: The amount requested must align with the Project Budget submitted with the Application. If no match is required by the Federal Grant, AZ SMART will not provide Match funding. Matching funds beyond that required by the grant which are intended to improve the competitive position of a project is the Applicant's responsibility.

61. Procurement solicitation (reimbursements only)

INSTRUCTIONS: If requesting reimbursement of prior DOES or Match expenditures for this Project:

1. Email the *solicitation* under which the expenditures were procured to azsmart@azdot.gov, and
2. Enter the amount of and purpose for which reimbursement requested (DOES or Match), followed by the name of the electronic file of the solicitation document. Reimbursement requests will not be reviewed, retained or considered until the solicitation has been received. **Example response:** \$1,000,000 for DOES. *Solicitation file name: 2024 Main St scoping.pdf*

NOTE regarding reimbursement of previous DOES or Match expenditures: Any amount to be reimbursed must be documented and identified in the Project Budget submitted with this Application. DOES expenditures may include costs to develop scope, schedule, budget, or other preliminary engineering costs **only if such expenditures were incurred:**

- after September 14, 2024, **AND**
- under a solicitation which meets Federal Standards as defined in the [RFGAA](#).

62. Project financial viability *

INSTRUCTIONS: Indicate if the Project will be able to proceed or can be scaled back if awarded less AZ SMART Funds than requested.

NOTE: The State Transportation Board may modify award amounts due to limited funding or other reasons. In such cases, the Applicant will be required to provide the remaining funding for the project and if awarded DOES, will still be required to submit a federal grant application within 2 years of the Date of Award.

Mark only one oval.

- ☐ Yes, Project can proceed
- ☐ Yes, but must be scaled back
- ☐ No, Project cannot proceed

Other Project Funding

This section is focused on project funding **other than AZ SMART**. Do not include any amounts requested from AZ SMART in responding to the following questions.

63. Applicant's own funds *

INSTRUCTIONS: Enter amount of cash monies provided by the Applicant from its own funds which will *supplement* (not supplant) the AZ SMART request. **DO NOT include** the amount requested from AZ SMART, match on federal formula funds, Legislative Appropriations, Congressionally Directed Spending, the Federal Grant, partner or private contributions or any other sources. Enter in whole dollars (for example, \$25,000). Enter 0 if none.

NOTE: This question is worth up to 3 points in the Priority Criteria - see the [RFGAA](#) for further information. The amount entered here must be documented in both the Project Budget and the approved governing body resolution submitted with the Application, and will be invoiced to the applicant if ADOT is administering the Project.

64. Federal formula funds *

INSTRUCTIONS: Indicate if any federal formula funds are programmed for the Project. Federal formula funds include but are not limited to Surface Transportation Block Grant Program (STBGP), Highway Safety Improvement Program (HSIP), Transportation Alternatives (TA), Off System Bridge (OSB), Transit (5310, 5311, etc.) and other annual formula funding.

- If no federal formula funding is programmed, check box #1.
- If federal formula funding is programmed, **check box #2 AND the Other box**. On the Other line, enter the year, type of funds, and the amount of both the formula funding and required match programmed (in whole numbers and separated by commas).
Example response: 2027, TA, \$94,300, \$5,700
- **DO NOT include** the AZ SMART request, Legislative Appropriations, Congressionally Directed Spending, the Federal Grant, Applicant's own funds or any other sources.

Check all that apply.

- ☐ 1. No federal formula funds
- ☐ 2. Federal formula funds are programmed (enter info on Other line)
- ☐ Other: _____

65. Congressionally Directed Spending (CDS) *

INSTRUCTIONS: Indicate if Congressionally Directed Spending has been requested from a member of Congress for this Project.

- If no CDS has been requested, check box #1.
- If CDS has been *requested but not awarded*, **check box #2 AND the Other box**. On the Other line, enter the year and the amount of both the CDS and required match (generally 5.7% *on top of the CDS amount requested*), in whole numbers and separated by commas. **Example response: 2024, \$94,300, \$5,700**
- If CDS has been *awarded*, **check box #3 AND the Other box**. On the Other line, enter the year and the amount of both the CDS and required match (generally 5.7% *on top of the CDS amount requested*), in whole numbers and separated by commas. **Example response: 2026, \$1,000,000, \$57,000**
- **DO NOT include** the formula federal aid, the AZ SMART request, Legislative Appropriations, Congressionally Directed Spending, the Federal Grant, Applicant's own funds or any other sources.

NOTE: Most CDS awards require a match of 5.7%.

Check all that apply.

- ☐ 1. No CDS
- ☐ 2. CDS has been requested (enter info on Other line)
- ☐ 3. CDS has been awarded (enter info on Other line)
- ☐ Other: _____

66. Legislative Appropriation (Leg approp) *

INSTRUCTIONS: Indicate if an appropriation has been requested from the Arizona Legislature for this Project.

- If no Leg Approp has been requested, check box #1.
- If a Leg Approp has been *requested but not awarded*, **check box #2 AND the Other box**. On the Other line, enter the year and the amount in whole numbers and separated by commas. **Example response: 2027, \$250,000**
- If a Leg Approp has been *awarded*, **check box #3 AND the Other box**. On the Other line, enter the year and the amount in whole numbers and separated by commas. **Example response: 2027, \$500,000**
- **DO NOT include** formula federal aid, the AZ SMART request, Congressionally Directed Spending, the Federal Grant, Applicant's own funds or any other sources.

Check all that apply.

- ☐ 1. No Leg Approp
- ☐ 2. Leg Approp has been requested (enter info on Other line)
- ☐ 3. Leg Approp has been awarded (enter info on Other line)
- ☐ Other: _____

67. Other funding *

INSTRUCTIONS: Identify any other funding which has been committed for this Project, such as from a developer, foundation, another project partner, federal/state/other grant, municipal bonds, or any other source.

- If no other funding is committed to the Project, check box #1.
- If any other type of funding has already been committed, **check box #2 AND the Other box**. On the Other line, enter the source/entity providing the funding, the year of the funding (if applicable) and the amount committed. Use whole numbers and separated with commas. **Example response: XYZ Developer, 2026, \$250,000**
- Do not include the AZ SMART request, CDS, Legislative Appropriations, federal aid formula funds or match, the Federal Grant, Applicant's own funds or any other sources.

Check all that apply.

- ☐ 1. No other funds committed
- ☐ 2. Other funds are committed (enter info on Other line)
- ☐ Other: _____

Federal Discretionary Grant

NOTE: All AZ SMART applications must be associated with a federal discretionary grant administered by a federal agency for *surface transportation purposes*. Please note Congressionally Directed Spending is not a federal grant and will not fulfill the requirement for DOES applicants to apply for a federal discretionary grant within 2 years of the Date of Award of the DOES funding.

68. Federal Grant pursued *

INSTRUCTIONS: The Applicant must identify the federal grant it intends to pursue, or has already been awarded, for the [Project](#). Select one grant only. If the desired grant is not listed, select Other and enter the name of the grant and the applicable federal agency.

NOTE: The list below includes common federal discretionary grants for Surface Transportation Purposes but does not include all which may be eligible for AZ SMART. Applicants are solely responsible for identifying appropriate federal grants for the Project and may wish to consult the US Department of Transportation [Discretionary Grants Dashboard](#).

Mark only one oval.

- ☐ Bridge Investment Program
- ☐ Defense Community Infrastructure Pilot
- ☐ INFRA (Nationally Significant Freight and Highway Projects)
- ☐ RAISE (Local and Regional Project Assistance)
- ☐ MEGA (National Infrastructure Project Assistance)
- ☐ National Culvert Removal, Replacement and Restoration
- ☐ PROTECT
- ☐ Railroad Crossing Elimination Program
- ☐ RURAL (Rural Surface Transportation Grant Program)
- ☐ Safe Streets For all
- ☐ Transit Buses and Bus Facilities Program
- ☐ Other: _____

69. Federal Grant REQUIRED Match % *

INSTRUCTIONS: Enter the percent of the match REQUIRED by the Federal Discretionary Grant. This information is identified in the NOFO or on the grant's webpage, which may be accessed through the US Department of Transportation's [Discretionary Grants Dashboard](#). Enter a number without the percent symbol. **Example response: 20%**

NOTE: AZ SMART will not provide match exceeding that required by the Federal Discretionary Grant. Applicants are responsible for providing any excess matching funds to improve the competitiveness of their federal application.

70. Federal Grant application phase(s) *

INSTRUCTIONS: Indicate the Project phase(s) for which funding will be/has been requested in the Federal Grant application. Check all that apply. If selecting "Other," please identify the phase.

NOTE: The Applicant is responsible for determining the eligibility of each phase under the intended Federal Grant.

Check all that apply.

- ☐ Design
- ☐ Right of Way Acquisition
- ☐ Utilities/Railroad
- ☐ Construction
- ☐ Other: _____

71. Federal grant application status *

INSTRUCTIONS: Identify the status of the Federal Grant application. Select only one.

- If the Federal Grant has **already been awarded, check box #1 AND the Other box**. On the Other line, enter the year and amount awarded, in whole numbers and separated with commas. **Example response: 2024, \$16,250,000**
- If an application has been submitted but **not yet awarded, check box #2 AND Other box**. On the Other line, enter the year submitted and amount requested. **Example response: 2024, 16250000**
- In an application has not yet been submitted, select either box #3 or #4 as applicable.

Check all that apply.

- ☐ #1 Federal Grant has been awarded (enter info on Other line)
- ☐ #2 Application submitted but not yet awarded (enter info on Other line)
- ☐ #3 Application will be submitted within next 6 months.
- ☐ #4 Application will be submitted in more than 6 months
- ☐ Other: _____

72. Intended Project Administration *

INSTRUCTIONS: Indicate how the Applicant intends to administer the Project if awarded a Federal Discretionary Grant. Select only one.

NOTE regarding Direct Recipient and self-administered projects:

1. ADOT cannot administer any phase or element of a SS4A grant.
2. ADOT PDA fees *generally* do not apply to Direct Recipient and self-administered Projects as ADOT may have little or no involvement beyond an AZ SMART award. Instead, Direct Recipient and self-administered Project sponsors will be solely responsible for all aspects of delivering a federal aid project, including but not limited to:

- Working directly with the federal awarding agency to obligate funds, obtain necessary clearances, and handle all design/construction administration, reporting and compliance related to the Federal Grant such as Title VI, disadvantaged business entities, and other federal requirements.
- Preparing bid documents and procuring all consultants and contractors under solicitations which meet Federal Standards.
- Making all payments directly to consultants, contractors or other service providers prior to requesting reimbursement from the federal agency and/or AZ SMART. Reimbursement may take a month or more.
- If ADOT administration is later requested by a Direct Recipient or self-administered Project sponsor, project development and/or construction administration fees will apply.

Mark only one oval.

- ☐ Direct Recipient if allowed in NOFO
- ☐ ADOT administration
- ☐ Other: _____

Other Required or Supplementary Information and Documents

73. Confidential Information

INSTRUCTIONS: Confidential data, information or documents include trade secrets or other proprietary information. All such information must be clearly marked as CONFIDENTIAL in capital letters. ADOT must agree the information is confidential. No public records may be deemed confidential.

- If Application contains no confidential data, information or documents, check box #1.
- If Application contains any confidential data, information or documents, **check box #2 and the Other box**. On the Other line, clearly identify the document, relevant page number(s) and the specific confidential data or information.

NOTE: Any documents containing confidential data or information are to be clearly marked with CONFIDENTIAL in capital letters.

Check all that apply.

- ☐ #1 No confidential information
- ☐ #2 Application contains confidential information (identify on Other line)
- ☐ Other: _____

74. Signed Offer and Acceptance form *

INSTRUCTIONS: Email the Offer and Acceptance form *signed by the Authorized Representative* to azsmart@azdot.gov and enter the name of that electronic file below. Failure to provide this document will result in an incomplete application which will not be reviewed, retained or considered.

NOTE: The signature must be applied by hand or through an electronic platform such as DocuSign. A form signed by anyone other than the Authorized Representative identified in this application or which contain a typed signature will not be accepted.

75. Letter of Support #1

INSTRUCTIONS: Email Letter of Support #1 to azsmart@azdot.gov and enter the name of that electronic file below.

NOTE: A maximum of 5 support letters may be submitted with the Application. Each letter is worth 1 point in the Priority Criteria - see the [RFGAA](#) for further information.

76. Letter of Support #2

INSTRUCTIONS: Email Letter of Support #2 to azsmart@azdot.gov and enter the name of that electronic file below.

NOTE: A maximum of 5 support letters may be submitted with the Application. Each letter is worth 1 point in the Priority Criteria - see the [RFGAA](#) for further information.

77. Letter of Support #3

INSTRUCTIONS: Email Letter of Support #3 to azsmart@azdot.gov and enter the name of that electronic file below.

NOTE: A maximum of 5 support letters may be submitted with the Application. Each letter is worth 1 point in the Priority Criteria - see the [RFGAA](#) for further information.

78. Letter of Support #4

INSTRUCTIONS: Email Letter of Support #4 to azsmart@azdot.gov and enter the name of that electronic file below.

NOTE: A maximum of 5 support letters may be submitted with the Application. Each letter is worth 1 point in the Priority Criteria - see the [RFGAA](#) for further information.






79. Letter of Support #5

INSTRUCTIONS: Email Letter of Support #5 to azsmart@azdot.gov and enter the name of that electronic file below.

NOTE: A maximum of 5 support letters may be submitted with the Application. Each letter is worth 1 point in the Priority Criteria - see the [RFGAA](#) for further information.

Thank you for your submission.





TRIBAL LANDS EMPHASIS AREA STRATEGIES

ID	STRATEGY	TIMEFRAME	COST/ EFFORT	LIKELY IMPACT	PRIORITY LEVEL
 SAFE ROADS					
TL.1A	Keep vehicles in their lane.	Medium term	Medium	High	High
TL.1B	Improve recovery area.	Long term	High	Medium	Low
TL.1C	Minimize roadside object crash severity.	Long term	High	Medium	Low
TL.1D	Separate animals from vehicles using space.	Long term	Medium	Low	Low
TL.1E	Simplify roadway environment.	Medium term	Medium	Medium	Medium
 SAFE ROAD USERS					
TL.2A	Promote seat belt education program.	Short term	Low	Low	Medium
TL.2B	Promote impairment and aggressive driving enforcement and education programs.	Short term	Medium	Low	Low
TL.2C	Support increased safety education and testing for all road users.	Medium term	Low	Low	Low
TL.2D	Support, through the provision of information, laws and agency policies that promote safety.	Medium term	Low	Medium	Medium
TL.2E	Conduct high-visibility enforcement at intersections.	Short term	Medium	High	High
TL.2F	Collaborate with stakeholders to develop positive social-norming public information media campaigns.	Medium term	Medium	Low	Low
 SAFE SPEEDS					
TL.3A	Improve driver awareness of appropriate speeds.	Short term	Medium	Low	Low
TL.3B	Increase automated/mobile enforcement of speeds.	Short term	Medium	High	High
 SAFE VEHICLES					
TL.4A	Support vehicle systems that discourage impaired driving.	Short term	Low	Low	Medium
TL.4B	Support vehicle systems that discourage distracted/drowsy driving.	Short term	Low	Low	Medium
TL.4C	Collaborate with private stakeholders on traffic safety initiatives.	Medium term	Low	Low	Low
TL.4D	Support increased vehicle inspections.	Medium term	Low	Low	Low
 POST-CRASH CARE					
TL.5A	Promote safety at crash scenes.	Short term	Medium	High	High
TL.5B	Support improvements in communication options in rural areas.	Long term	Medium	Medium	Low
TL.5C	Improve Tribal crash data collection and sharing.	Short term	Low	Medium	High

SUMMARY OF HIGH-PRIORITY RECOMMENDED STRATEGIES

High-priority recommended strategies, organized by Safe System element, are summarized in **Table 1**. It is recommended that the Emphasis Area teams initially focus on implementing the high-priority strategies in coordination with other safety partners and stakeholders. Opportunities to advance lower-priority recommended strategies should also be pursued as resources, funding, and time permit. These recommended strategies, once implemented, are anticipated to significantly reduce crash-related fatalities and serious injuries, working towards the vision of everyone arriving safely home every day.

Table 1. High-Priority Recommended Strategies

ID	STRATEGY
	SAFE ROADS
VRU.1B, INT.1D, LD.1C	Improve visibility of VRUs, all users, and roadway features.
VRU.1D	Incorporate VRUs more prominently in planning, design, and programming process.
INT.1B	Reduce high-risk movements.
LD.1A, TL.1A	Keep vehicles in their lane.
	SAFE ROAD USERS
INT.2A, TL.2E	Conduct high-visibility enforcement at intersections.
	SAFE SPEEDS
HB.3B, INT.3C, LD.3B, TL.3B	Increase automated/mobile enforcement of speeds.
	POST-CRASH CARE
HB.5A, VRU.5A, INT.5A, LD.5A, TL.5A	Promote safety at crash scenes.
TL.5C	Improve Tribal crash data collection and sharing.

Note: No high-priority strategies were recommended within the Safe Vehicles Safe System element.