



Transit

5307 Competitive Funding Pool and 5339 Bus & Bus Facilities

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ADOT operates its programs and services without regard to race, color, national origin or disability in accordance with Title VI of the Civil Rights Act of 1964, Section 504 of the Rehabilitation Act of 1973 and the Americans with Disabilities Act of 1990 (ADA).



Program Overview

FTA Section 5307 & 5339

- The Urbanized Area (UZA) Formula Funding (5307) program is allocated to small urban communities (50K-200K Population). Communities that are not ready to obligate funds return them to the pool.
- The Bus and Bus Facilities (5339) program is apportioned to the state of Arizona and is divided into Small Urban and Statewide funding. This funding is available through the ADOT competitive pool process to fund capital project(s) (e.g. vehicles, facility construction)

Program Overview

Eligibility

- Section 5307 and Section 5339 Small Urban competitive funding pool is limited to existing small urban designated UZAs, and the Direct Recipient must apply.
- Section 5339 Statewide Funding is open to small urban and 5311 rural transit providers.
- There is a limit of one application and three (3) projects per recipient.

Funding Overview

5307 & 5339 Available Funding 2024

FTA Funding Source	Available Funds
5307 Competitive Funding Pool	\$ 3,983,199
5339 Small Urban	\$ 1,166,495
5339 Statewide	\$ 8,124,283
Total	\$ 13,273,977

Highlights

Program Information

- If you have previously applied, select carry forward to populate information from previous year's application.
- Contact info will also automatically carry forward to Supporting Documentation contact list.
- Please **update** all information for your agency and add your UEI number (from [Sam.gov](https://sam.gov)).

Grant Application Contact

Agency Name:

Contact Person's Name:

Title:

Email Address:

Agency Phone:

Transit Agency Web Address:

Match Ratio

Program Information

Default match ratio is 80%.

Higher match ratios can be selected for ADA projects - 85% for ADA equipped vehicles and 90% for ADA facility projects

Project Type * ☐ Facility ☐ ADA Facility ☐ Other Capital ☐ Operating ☐ Vehicle ☒ ADA Vehicle

☒ Select box to not calculate default 80% FTA Request for non-operating project requests.

Funding Request Amount	Total Project Cost: \$1,600,000	Total Grant Request: \$1,600,000	FTA Request: \$1,360,000	UZA: Avondale / Goodyear
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☐ DELETE Attach a Map of the Proposed Project Area

[42552_899821-UnderstandingECHOandTrAMS06.27.2016slidesonly.pdf](#)

Vehicles

State of Good Repair

For New or Replacement Vehicle Projects:

If you are requesting an expansion, VIN not applicable.

VEHICLE SPECIFICATIONS											
Expansion or Replacement	Vehicle Length	Vehicle Type	Seating Capacity	Wheel chair Positions	Fuel Type	VIN of vehicle being replaced or Rehabilitated (last 4)	Vehicle Priority #	Replaced vehicle disposal?	Used for Intercity Route?	Request Amount	
Replacement ▾	40	Bus Standard 40 FT ▾	50	2	Alternative ▾	5841	1 ▾	Spare ▾	No ▾	\$650,000	
Replacement ▾	35	Bus Standard 35 FT ▾	30	2	Diesel ▾	4851	2 ▾	Sell ▾	No ▾	\$450,000	
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Milestones

Project Readiness

Milestones are required on the Project Readiness Page.

3. Please fill out the proposed Milestone dates for your proposed project:

ESTIMATED COMPLETION DATES		
RFP ISSUED	CONTRACT AWARDED	PROJECT COMPLETE
07/15/2015 *	07/15/2020 *	07/23/2020 *

4. % Design Completion (if applicable)

%

NEPA for Facilities

Project Readiness

- Applications requesting new bus stops, bus shelters or transit facilities
 - Maps **must** be provided showing the proposed locations
- Applications requesting capital purchases of vehicles
 - A build sheet **must** be provided which includes the anticipated cost of the vehicle(s) being requested.

NEPA for Facilities

Project Readiness - Construction Projects

- Applications requesting construction projects must provide the following documentation in application :
 - Site selection
 - Environmental justice
 - NEPA documentation

NEPA for Facilities

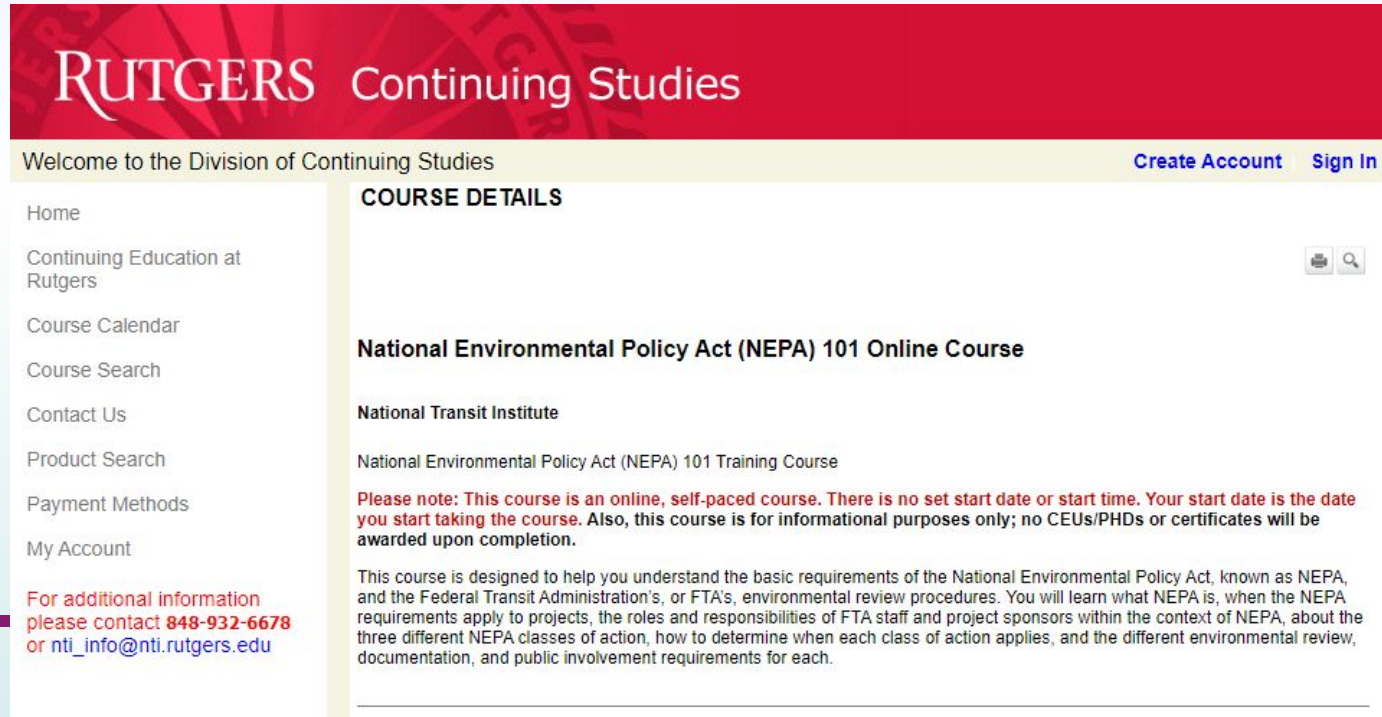
Project Readiness - Construction Projects

- ADOT & FTA Strongly suggest that prior to applying for funding that coordination meetings be held.
 - 5307 Small Urban Applicants coordination meeting should be held with FTA.
 - 5311 Rural Public Transit Applicants of ADOT should hold coordination meetings with ADOT and FTA.

NEPA for Facilities

Project Readiness

NEPA is required for facility and construction projects.



The screenshot shows the Rutgers Continuing Studies website. The header is red with the Rutgers logo and 'Continuing Studies' text. Below the header is a yellow navigation bar with 'Welcome to the Division of Continuing Studies', 'Create Account', and 'Sign In'. A left sidebar contains links: Home, Continuing Education at Rutgers, Course Calendar, Course Search, Contact Us, Product Search, Payment Methods, and My Account. The main content area is titled 'COURSE DETAILS' and features the 'National Environmental Policy Act (NEPA) 101 Online Course' by the National Transit Institute. A red note states: 'Please note: This course is an online, self-paced course. There is no set start date or start time. Your start date is the date you start taking the course. Also, this course is for informational purposes only; no CEUs/PHDs or certificates will be awarded upon completion.' Below this, a paragraph describes the course's purpose: 'This course is designed to help you understand the basic requirements of the National Environmental Policy Act, known as NEPA, and the Federal Transit Administration's, or FTA's, environmental review procedures. You will learn what NEPA is, when the NEPA requirements apply to projects, the roles and responsibilities of FTA staff and project sponsors within the context of NEPA, about the three different NEPA classes of action, how to determine when each class of action applies, and the different environmental review, documentation, and public involvement requirements for each.'

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COURSE DETAILS

National Environmental Policy Act (NEPA) 101 Online Course

National Transit Institute

National Environmental Policy Act (NEPA) 101 Training Course

Please note: This course is an online, self-paced course. There is no set start date or start time. Your start date is the date you start taking the course. Also, this course is for informational purposes only; no CEUs/PHDs or certificates will be awarded upon completion.

This course is designed to help you understand the basic requirements of the National Environmental Policy Act, known as NEPA, and the Federal Transit Administration's, or FTA's, environmental review procedures. You will learn what NEPA is, when the NEPA requirements apply to projects, the roles and responsibilities of FTA staff and project sponsors within the context of NEPA, about the three different NEPA classes of action, how to determine when each class of action applies, and the different environmental review, documentation, and public involvement requirements for each.

For additional information please contact **848-932-6678** or nti_info@nti.rutgers.edu

NEPA Training Link:
<https://ce-catalog.rutgers.edu/courseDisplay.cfm?schID=80073>

Supporting Documentation

Financial Readiness

Provide Supporting Documentation demonstrating readiness to proceed on the project.

2. Supporting documentation must be provided to justify the cost of your project. e.g. Build Sheets, Design or Construction Cost Estimates.

Please upload an attachment:

Browse...

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Price increases that exceed the award amount will need to be entirely be covered by the awardee's own funds.

Local Match

Financial Readiness

Language change on the local match commitment. Local Match (such as sales tax, property tax, local fund, etc.) being used for the project(s) should be clearly identified.

4. Documented commitment in the form of a resolution or signed letter from highest authorized official to commit Local Match.

Browse...

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Direct to FTA

Supporting Documentation

- Direct Recipients must apply to FTA within 6 months of award and funding should be committed within 12 months.
- funds in TRAMS if previous award given.

Funding Option for Small Urban and Tribal Entities

(Please refer to your Guidebook or contact your assigned Program Manager for additional guidance.)

4. ☐ Yes ☐ No I am a Small Urban or Tribal Entity and will be applying directly to FTA within 12 months if awarded.

Application Evaluation

Evaluation Criterion

- State of Good Repair (20%)
- Project Readiness (20%)
- Financial Readiness (20%)
- Agency performance measures (20%)
- Demonstrated good standing (10%)
- Stakeholder support/ involvement (10%)

Construction Projects **Evaluation**

Criteria

- Site Selection and Environmental Justice analysis provided
- Did the applicant discuss the project requirements with ADOT and/or FTA?
- Has the applicant ensured that if design occurred during NEPA that it did not exceed the 30% design mark until NEPA is completed and approved?

Construction Projects Evaluation

Criteria Continued

- Has NEPA been completed for the approved site selected with subsequent ADOT & FTA approval
- Was documentation provided explaining any socio-economic impacts on the community surrounding the construction site

Capital Projects

Criteria

- For vehicle requests ADOT verifies that a build sheet is provided including the anticipated cost of the vehicle(s) being requested
- For bus shelters, maps must be provided reflecting where any new structures are proposed

5307 & 5339 Grant Application

Important Information

- All awardees are required to comply with all Federal program requirements and ADOT's contract where applicable.
- Awardees which are not direct recipients of FTA, will have the award added to their existing ADOT contract and will be paid through the Egrants reimbursement process.
- Direct Recipients will be given a transfer letter to include with their TRAMS application and will apply for funds awarded by ADOT through TRAMS upon notice of award.
- Awardees must be able to provide a local match which is generally 20% for capital projects.

5307 & 5339 Grant Application - FAQ

QUESTIONS and COMMENTS	RESPONSES
Are we able to request bus that are nearing the end of their life cycle? For example we have 3 shuttle buses that are over 200,000 miles and by time we would get the funding the buses would be over their useful life cycle.	Recent FTA guidance is that ADOT can only apply for funding for replacement vehicles when that vehicle has reached its useful life or the vehicle will reach its useful life within 1 year of the application to FTA. Staff would need to document how the vehicle will meet its useful life within that 1 year period (ie annual mileage or age of the vehicle). FTA will not allow funding of a replacement vehicle based upon age or mileage of the vehicle at the anticipated time of replacement / delivery of the vehicle.
Does ADOT have a form applicants can fill out for verification of local match funds?	No, Written documentation on agency letterhead signed by your designated signatory is acceptable
Does the review panel consider agencies who guarantee a local match above 20% more favorable?	No, As long as the applicant is able to guarantee they will have the 20% required local match nothing more is required. Exceeding 20% local match does not increase favorability.
Is there a minimum grant request?	Yes, when applying for the funding ADOT will not consider awards of less than \$5,000 as that is generally the FTA threshold for capital purchases.

5307 & 5339 Grant Application - FAQ

QUESTIONS and COMMENTS	RESPONSES
It was my understanding that NEPA is not required for replacement bus shelters because they are being installed at a current shelter location on an existing concrete pad and no ground disturbance or environmental impact will be sustained?	NEPA compliance is required on all projects funded with Federal dollars. NEPA is the primary law governing the environmental review process for all federal agencies. NEPA establishes a decision making process that agencies must follow to study the environmental impacts of the proposed projects, both large and small. An environmental determination is required for all projects funded by FTA. Before ADOT can apply to FTA for funding we must complete an environmental review for the project following NEPA and identify in our application the environmental designation of the project. Applicants for ADOT funding must provide sufficient information in the application for ADOT to determine the environmental impacts of the project or the application must provide completed and approved NEPA documents. Please see guidance from FTA on the ADOT webpage.: https://azdot.gov/sites/default/files/media/2022/07/nepa-on-e-pager-v-3.pdf

5307 & 5339 Grant Application - **FAQ**

QUESTIONS and COMMENTS	RESPONSES
Can we use in-kind for our local match	The use of in-kind match is very limited in 5339 funding. 5339 funding does allow for use of in kind for your local match however it can only be used for services donated by a consultant or vendor and therefore is not commonly used.
Can we put five cutaways for our fixed route and the ADA vehicle together or do those have to be two projects?	You can combine up to three vehicles that are the same make, model, and size into one project. If they are not the same make model and size they would be considered a separate project.
Will there be a cure period with changes allowed after the application due date or can we delay submitting the application?	The application is due August 29, 2024 at 3 PM and there are no delays allowed. There is also no cure period or changes allowed after the application submission with the exception of a board resolution that can be submitted via email. Please be thorough in your application preparation and timely in your submission. If for some reason the application does not submit, please contact ADOT prior to the deadline as we can address technical issues.

5307 & 5339 Grant Application

DUE DATE

Completed Applications must be submitted by
October 31st, 2025 at 3:00 PM.

Apply through E-grants at: <https://egrants.azdot.gov/>

Questions?

Thank you!

Any 5307 & 5339 questions can be directed to our group email inbox:

transitgroup@azdot.gov

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