



July 16, 2020

**FFY 2020 FTA SECTION 5307/5339 NOTICE OF FUNDING AVAILABILITY**

This notice is to announce the availability of Federal Transit Administration (FTA) grant funding financial assistance for the 5307 small urban funding pool and the 5339 Bus and Bus Facilities Grant awarded by the Arizona Department of Transportation (ADOT).

**Program Overview**

ADOT’s Multimodal Planning Division (MPD) administers the application for the Urbanized Area Formula Funding program (49 U.S.C. 5307) which makes Federal resources available to urbanized areas and to states for transit capital and operating assistance in urbanized areas (UZAs) and for transportation related planning. ADOT MPD also administers the Bus and Bus Facilities program (49 U.S.C. 5339) to provide capital funding to replace, rehabilitate and purchase buses and related equipment and to construct bus-related facilities.

**FTA Section 5307** is allocated to all small urban communities. Allocated funding which will not be obligated is available through ADOT’s competitive pool process to fund operating and capital project(s).

**FTA Section 5339** is apportioned to the state of Arizona and is divided into Small Urban and Statewide funding. This funding is available through the ADOT competitive pool process to fund capital project(s) (e.g., vehicles, facility construction, etc.).

Eligibility for **Section 5307** and **Section 5339 Small Urban** competitive funding pool is limited to existing small urban designated UZAs, and should be applied for via the Direct Recipient.

**Section 5339 Statewide Funding** is open to small urban and 5311 rural transit providers. There is a limit of one application and three (3) projects per recipient. Any unallocated funds will be available for the 2020 grant cycle.

**Funding Available**

Available funding includes 5307 and 5339 Formula fund apportionments. This year’s application includes the funding from prior years as well as the 2020 apportionment. The following table represents the federal funding available:

FTA Small Urban Funding Source	Older funds (remainder)	2020	Combined
<b>5307 Competitive Funding Pool</b>	\$ 2,770,993	\$ 2,611,135	\$ 5,382,128
<b>5339 Small Urban</b>	\$ 1,230,175	\$ 1,280,353	\$ 2,510,528
<b>5339 Statewide</b>	\$ 4,337,000	\$ 3,500,000	\$ 7,837,000
<b>Total</b>	\$ 8,338,168	\$ 7,391,488	\$ 15,729,656

**Evaluation Criterion:**

- State of Good Repair (20%)
- Project Readiness (20%)
- Financial Readiness (20%)
- Agency performance measures (20%)
- Demonstrated good standing (10%)
- Stakeholder support/ involvement (10%)

**Other Important Information**

Those who are awarded FTA funds:

- Direct Recipients will be given a transfer letter to include with their TRAMS application and will apply for funds awarded by ADOT through TRAMS within 6 months of notice of award.
- Awardees which are not direct recipients of FTA, will have the award added to their existing ADOT contract and will be paid through the Egrants reimbursement process.
- Will provide a local match which is generally 20% for capital projects.
- All awardees are required to comply with all Federal program requirements and ADOT's contract where applicable.

**Due Date:** All application materials are to be completed and submitted electronically through <https://Egrants.azdot.gov> by 4PM, **Friday, August 28, 2020**.

**Webinar:** A webinar will be held **1PM on July 30th** for review of application changes and to answer questions about the program. Email Sara Allred [sallred@azdot.gov](mailto:sallred@azdot.gov) or Aubree Perry [APerry2@azdot.gov](mailto:APerry2@azdot.gov) for the login details of the webinar.

If you have questions about the Section 5307 / 5339 competitive funding pool program, please contact Sara Allred, ADOT Program Manager at [SAllred@azdot.gov](mailto:SAllred@azdot.gov) or 602-712-4498. We look forward to receiving your application. Thank you for your interest in FTA funding.

Sincerely,



Jill Dusenberry  
Transit Programs Manager  
Arizona Department of Transportation

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