

CERTIFICATION ACCEPTANCE TRAINING

MODULE 1: PLANNING/PROGRAMMING/PROJECT INITIATION

Planning /Programming

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Background

- ▶ TIP / STIP What are they
- ▶ Federal fiscal year planning/programming - Timeline
- ▶ Timing of STIP amendments
- ▶ Requesting projects early
- ▶ Being proactive with planning and programming
- ▶ Rollover projects
- ▶ MAG closeout funds, initiate the project when they can

Transportation Improvement Program

- The Transportation Improvement Program (TIP) is the MPO's and COG's five-year program of highway, transit, and other transportation projects in the area
- First two years of the TIP are financially constrained
- Last three years are projects in development or illustrative
- The TIP lists Local, State, MPO, and Transit Projects
- May be updated annually

TIP Projects

- Projects listed in the TIP include:
 - Those using federal funds
 - All state and local funded projects that are regionally significant
 - All New capacity projects must come from the LRTP



TIP Requirements

- The TIP must conform to air quality plans
- Must be made available for public review
- Is approved by the MPO/COG board
- Approved by FHWA for Highway Projects
- Approved by FTA for Transit Projects
- Approved by both if Amendment has Transit and Highway projects together

Timing

- ▶ July 1 Start of State Fiscal Year
- ▶ Federal Fiscal Year Starts October 1 Ends Sept 30
- ▶ October / November TAC–RAAC meetings
- ▶ Coordination Starts in December
- ▶ January / February Air Quality Review
 - Also done in June / July for MAG
- ▶ March April May ADOT public Hearings
- ▶ June State Transportation Board Adoption

Fiscal Year Begins July 1



Request Projects Early

- ▶ Stage Design 2 years ahead of Construction
- ▶ Allow for other process
 - Environmental
 - Right of way
 - Utilities

Proactive Programming

- ▶ Put into your Capital Improvement plans
- ▶ Implement JPA/IGA process early
- ▶ Get through all committees
- ▶ Have the right signatures
- ▶ Projects Ready to advertise

Roll over Projects From one FY to the Other

- ▶ Identify projects that are slipping
- ▶ Adjust schedules / budgets
- ▶ May 15 cut off date for projects, program for the next year if you do not make this date.
- ▶ Maximize the use of Obligation Authority for current FY

Triggers and Deadlines for Submittal

- **Be early! Make sure you get your requests in for consideration**
- **Deadlines** are published in the MAG Transportation Programming Guidebook, updated annually each August: <https://www.azmag.gov/TIP>
 1. Work with Myrna Bondoc, ADOT MPD
 2. MAG completes at least one conformity analysis per year in June, deadlines for submitting changes occur early in the preceding February. This year MAG will complete **Three** conformity analysis'.
- The TIP change form is updated at the conclusion of Regional Council amending the TIP. The form is on the MAG TIP webpage: <https://www.azmag.gov/Programs/Transportation/Programming-and-Finance/Transportation-Improvement-Program-TIP>

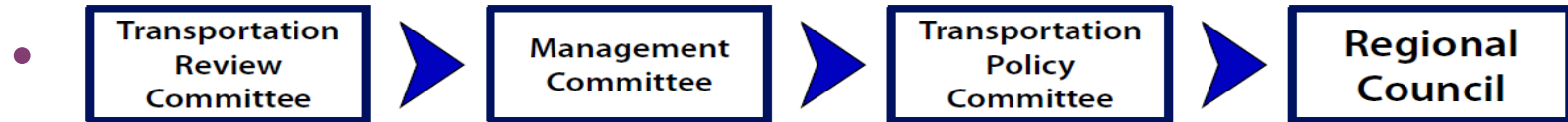


Air Quality Contingent Projects

- Information is published in the MAG Transportation Programming Guidebook, updated annually each August: <https://www.azmag.gov/TIP>
- ALL **new** Regionally Significant Projects must be included
- All **changes** to Regionally Significant Projects that:
 - Change location by greater than one-half mile in length,
 - Cross a horizon year (deferral or advancement) for any of the modeled pollutants (contact MAG staff). *For your initial planning purposes assume it does.*
 - Change in project scope that modifies the through traffic. (adding or deleting lanes; watch out for those simple striping projects!) (watch out for road diets!)
 - Deletion of a project (will be captured in next conformity analysis).

MAG Committees

- Transportation Review Committee (TRC)
- Management Committee (MC)
- Transportation Policy Committee (TPC)



MAG Planning Boundary

ADOT'S ArcGIS MAP VIEWER:

How determine if your project falls within the MAG planning boundary.

<http://www.arcgis.com/home/webmap/viewer.html?webmap=78fb710a6e7b4e69a4ec085f91cb37fc&extent=-114.7773,32.1495,-107.8779,35.8462>



MAG Process and Documentation

- https://www.azmag.gov/Portals/0/Documents-Ext/TIP/Project_Guidebook_FY2021_FINAL-rev2.pdf
- <https://azdot.gov/planning/transportation-planning/tmas-mpos-and-cogs/transportation-management-areas-tma>

STIP Requirements

- FAST Act requires a 4 year STIP Ended September 30 will be working on Continuing Resolutions until the end of the year.
- STIP consists of MPO & COG TIPs, Indian Reservation Roads Program and Federal Lands Program
- ADOT develops a new STIP every year
- STIP has to be Financially Constraint

STIP Requirements (Continued)

- FHWA & FTA review and jointly approve the STIP
- After the STIP is approved changes to projects are processed by STIP amendments

What is a STIP Amendment

- Any new project in the STIP
- Funding changes
- Scope change
- Movement within fiscal years

“Top 10 List”

Basic Federal-aid Requirements for Projects

1. The Federal-aid Highway Program is a Federal-State Partnership
2. **States initiate projects and work with CA decide where to use Federal-aid.**
3. The Federal-aid Highway Program is a reimbursement program.
4. **FHWA must authorize projects in advance.**
5. **All Federal-aid Projects must be in an approved STIP.**

“Top 10 List”

Basic Federal-aid Requirements for Projects (Continued)

6. **All Federal Actions must be covered by an appropriate NEPA Documentation.**
7. Federal-aid projects must be competitively bid.
8. Required Contract Provisions apply to all Federal-aid Projects.
9. States or Local Agencies must maintain all improvements constructed with Federal-aid.
10. A Federal-aid Highway is defined as any road functionally classified above a Rural Minor Collector or Local Road.

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QUESTIONS

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THANK YOU