

CA TRAINING:

Module-4: Construction Authorization

Sarker Rahman, PE

Contracts and Specifications

SRahman@azdot.gov

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ITEMS TO DISCUSS:

- ▶ **Recapitulation Sheets**
- ▶ **Capped Funds**
- ▶ **Funding Sources**
- ▶ **Understanding Strategies**
- ▶ **Challenges**
- ▶ **ADOT Experience with IDIQ contracts**

Recapitulation Sheets:

- ▶ **Detailed Recap. & Agreement Recap.**
- ▶ **Summary of all Project Construction Expenses**
- ▶ **Funding Sources & Shared Amounts**
- ▶ **Participating Agencies/Entities**
- ▶ **The Agency Estimate/ Awarded Bid Amount**
- ▶ **Non-Bid Items and Related Costs**
- ▶ **Improvements Codes**

Recapitulation Sheets (Samples):

DETAILED ESTIMATE RECAPITULATION

LOCATION: XXX
 DESCRIPTION OF WORK: XXXXX

 Federal Aid Project No. XXX-XXX-XXX-0(XXX)D
 ADOT Project Number XXXXX 01C

Sheet 1 of 1
 XXX
 05/24/2018

FHWA CODE NO.	DESCRIPTION	ESTIMATED COST (\$)	STP-MAG			STBGP-MAG			FUNDING TOTALS	
			FEDERAL PARTICIPATION (\$)	FEDERAL STP FUNDS 94.30%	LOCAL FUNDS 5.70%	FEDERAL PARTICIPATION (\$)	FEDERAL STBGP FUNDS 94.30%	LOCAL FUNDS 5.70%	LOCAL FUNDS 100.00%	TOTAL FEDERAL FUNDS
03	Reconstruction - Added Capacity (Eligible)	\$9,170,275	\$7,760,767	\$7,318,403	\$442,364	\$1,409,508	\$1,329,166	\$80,342	\$8,647,569	\$522,706
03	Reconstruction - Added Capacity (Local Contribution)	\$1,188,831						\$1,188,831		\$1,188,831
	SUBTOTAL:	\$10,359,106	\$7,760,767	\$7,318,403	\$442,364	\$1,409,508	\$1,329,166	\$80,342	\$8,647,569	\$1,711,537
17	Const Engr (Eligible)	15% \$1,375,541	\$1,164,115	\$1,097,760	\$66,355	\$211,426	\$199,375	\$12,051	\$1,297,135	\$78,406
03	Contingencies (Eligible)	5% \$458,514	\$388,038	\$365,920	\$22,118	\$70,475	\$66,458	\$4,017	\$432,378	\$26,135
	TOTAL ELIGIBLE:	\$1,834,055								
17	Const Engr (Local Contribution)	15% \$178,325						\$178,325		\$178,325
03	Contingencies (Local Contribution)	5% \$59,442						\$59,442		\$59,442
	TOTAL Local Contribution:	\$237,766								
	PROJECT TOTAL	\$12,430,927	\$9,312,920	\$8,782,084	\$530,836	\$1,691,410	\$1,595,000	\$96,410	\$1,426,597	\$2,053,844
	TOTAL FEDERAL 94.3%	\$10,377,084								
	TOTAL LOCAL 5.7%	\$627,246								
	TOTAL LOCAL 100%	\$1,426,597								
	TOTAL	\$12,430,927								

Notes:

Recapitulation Sheets (Samples):

AGREEMENT ESTIMATE RECAPITULATION

PROJECT:

Federal Aid Project No.:

ADOT Tracs No.:

City Of XXXProject No.:

Sheet 1 of 1

REVISED

ENGINEER:

DESCRIPTION	FHWA CODE.	ESTIMATED COST	FEDERAL PARTICIPATING	*FEDERAL FUNDS 94.3%	LOCAL FUNDS 5.7%	LOCAL FUNDS 100.0%	MILES (MI)
Traffic Management/Engineering HOV	5	\$1,190,210	\$1,716,673.00	\$1,122,368	\$67,842	\$0	
SUBTOTAL		\$1,190,210	\$1,716,673.00	\$1,122,368	\$67,842	\$0	0.0
Construction Engineering	18.8% 17	\$223,563	\$223,563.00	\$210,820	\$12,743	\$0	
Contingency	0% 17	\$0	\$0.00	\$0	\$0	\$0	
Post Design Services	0%	\$0	\$0.00	\$0	\$0	\$0	
PROJECT TOTAL:		\$1,413,773	\$1,940,236.00	\$1,333,188	\$80,585	\$0	0
					CITY=	\$80,585	

Total Federal (94.3%) =	<u>\$1,333,188</u>	1,413,773
Total Local (5.7%) =	<u>\$80,585</u>	223,563
Total Local (100%) =	<u>\$0</u>	
Total Cost	<u>\$1,413,773</u>	

Note: Federal Participation = \$1,333,188

Requesting to maintain same CE Cost amount approved on 4/13/2020

Recapitulation Sheets: (Samples)

DETAILED ESTIMATE RECAPITULATION

HXXXX 01C
STBGP STP AC-NHPP XXX-C(XXX)T
XXXX TRAFFIC INTERCHANGE, GILBERT

CREATED: 08/21/20
REVD : 09/10/20
ENGINEER:

DESCRIPTION	FMIS5 DETAIL PROV & LINE #S (FOR FMS USE ONLY)	FHWA CODE	ESTIMATED COST	AC-NHPP/Z001			STPGP/Z240			AC-Z230/STBGP- ALCP		AC-Z230/STBGP-SPECIAL PROJECTS		LENGTH IN MI
				FEDERAL PARTICIPATION	FEDERAL FUNDS	RARF FUNDS	FEDERAL FUNDS	RARF FUNDS	RARF FUNDS	FEDERAL FUNDS	GILBERT FUNDS	FEDERAL FUNDS	GILBERT FUNDS	
				94.30%	5.70%	94.30%	5.70%	100.00%	94.30%	5.70%	94.30%	5.70%		
LINDSAY ROAD TI	Z240-1, AC-Z001-1, AC-Z001-2	03	22,449,390	22,449,390	9,201,138	556,166	9,201,138	556,166	2,934,782					6.840
WBOUND FRONTAGE RD	AC-Z230-1, AC-Z230-2	03	2,942,766	2,942,766						1,086,353	65,665	1,688,674	102,073	
TRAINEES	AC-Z001-3	42	14,400	14,400	6,790	410	6,790	410						
SUBTOTAL			25,406,556	25,406,556	9,207,928	556,576	9,207,928	556,576	2,934,782	1,086,353	65,665	1,688,674	102,073	
CONSTRUCTION ENGINEER	AC-Z001-4, AC-Z001-5, AC-Z230-3, AC-Z230-4	Fixed Fixed	17	1,740,000	1,740,000	630,687	38,122	630,687	38,122	199,896	74,960	4,531	115,985	7,011
CONSTRUCTION CONTINGENC	AC-Z001-6, AC-Z001-7, AC-Z230-5, AC-Z230-6	5%	03	1,270,328	1,270,328	460,396	27,829	460,396	27,829	146,739	54,318	3,283	84,434	5,104
PAVEMENT INCENTIVES:														
AC SMOOTHNESS	AC-Z001-8	03	42,000	42,000	19,803	1,197	19,803	1,197						
AC QUALITY LOT (TI)	AC-Z001-9	03	16341	16341	7705	466	7705	466						
AC QUALITY LOT (FR)	AC-Z230-7, AC-Z230-8	03	7,449	7,449						3,512	212	3,512	212	
PCCP SMOOTHNESS (TI)	AC-Z001-10	03	14,700	14,700	6,931	419	6,931	419						
PCCP SMOOTHNESS FR	AC-Z230-9, AC-Z230-10	03	6,300	6,300						2,970	180	2,970	180	
PCC QUALITY LOT (TI)	AC-Z001-11	03	41,742	41,742	19,681	1,190	19,681	1,190						
PCC QUALITY LOT (FR)	AC-Z230-11, AC-Z230-12	03	17,818	17,818						8,401	508	8,401	508	
OTHER INCENTIVES				0										
FINAL RIGHT-OF-WAY MONUMENTATION				0										
FLAGGING SERVICES				0										
RAILROAD/OTHER				0										
PUBLIC RELATIONS	AC-Z001-12, AC-Z001-13, AC-Z230-13, AC-Z230-14	44	55,000	55,000	19,935	1,205	19,935	1,205	6,319	2,369	143	3,666	222	
OTHER				0										
SUBTOTAL			28,618,234	28,618,234	10,373,066	627,004	10,373,066	627,004	3,287,736	1,232,884	74,522	1,907,643	115,308	
ICAP 9.90%	AC-Z001-14, AC-Z001-15, AC- Z230-15, AC-Z230-16	FY21	44	2,833,205	2,833,205	1,026,934	62,073	1,026,934	62,073	325,486	122,055	7,378	188,857	11,416
PROJECT TOTAL:			31,451,439	31,451,439	11,400,000	689,077	11,400,000	689,077	3,613,222	1,354,939	81,900	2,096,500	126,724	6.840

NOTE: PDS is Town of XXXX Responsibility.



Recapitulation Sheets: (Samples)

AGREEMENT ESTIMATE RECAPITULATION

XXX MA XXX HXXXX 01C
 XXX-C(XXX)T
 XXXX XXXX TRAFFIC INTERCHANGE

CREATED: XX/XX/20
 REVISED:
 ENGINEER: XXXX X.

DESCRIPTION	FHWA CODE	CONTRACTOR BID	FEDERAL FUNDING	NHPP	STPGP - FLEX		ELIG	STBGP-ALCP		STBGP-SPECIAL		LENGTH IN MI
				FEDERAL FUNDS 94.30%	RARF FUNDS 5.70%	FEDERAL FUNDS 94.30%	RARF FUNDS 5.70%	RARF FUNDS 100.00%	FEDERAL FUNDS 94.30%	LOCAL FUNDS 5.70%	FEDERAL FUNDS 94.30%	
LINDSAY ROAD TI	03	20,141,912	20,141,912	9,201,138	556,166	9,201,138	556,166	627,304				6.840
WBOUND FRONTAGE RD	03	1,834,043	1,834,043						603,224	36,462	937,679	56,678
TRAINEES	42	14,400	14,400	6,790	410	6,790	410					
SUBTOTAL		21,790,355	21,790,355	9,207,928	556,576	9,207,928	556,576	627,304	603,224	36,462	937,679	56,678
CONSTRUCTION ENGINEERING	Fixed 17	1,740,000	1,740,000	630,687	38,122	630,687	38,122	199,896	74,960	4,531	115,985	7,011
POST DESIGN SERVICES	Fixed 17											
CONSTRUCTION CONTINGENCY	5% 03	1,089,518	1,089,518	460,396	27,829	460,396	27,829	31,365	30,161	1,823	46,884	2,834
PAVEMENT INCENTIVES												
AC SMOOTHNESS	03	42,000	42,000	19,803	1,197	19,803	1,197					
AC QUALITY LOT (TI)	03	16,341	16,341	7,705	466	7,705	466					
AC QUALITY LOT (FR)	03	7,449	7,449						3,512	212	3,512	212
PCCP SMOOTHNESS (TI)	03	14,700	14,700	6,931	419	6,931	419					
PCCP SMOOTHNESS (FR)	03	6,300	6,300						2,970	180	2,970	180
PCC QUALITY LOT (TI)	03	41,742	41,742	19,681	1,190	19,681	1,190					
PCC QUALITY LOT (FR)	03	17,818	17,818						8,401	508	8,401	508
FLAGGING SERVICES												
RAILROAD/OTHER												
PUBLIC RELATION	44	55,000	55,000	19,935	1,205	19,935	1,205	6,319	2,369	143	3,666	222
OTHER												
SUBTOTAL		24,821,223	24,821,223	10,373,066	627,004	10,373,066	627,004	864,883	725,599	43,859	1,119,098	67,644
ICAP	9.90% FY'21 44	2,457,301	2,457,301	1,026,934	62,073	1,026,934	62,073	85,623	71,834	4,342	110,791	6,697
PROJECT TOTAL:		27,278,524	27,278,524	11,400,000	689,077	11,400,000	689,077	950,506	797,433	48,201	1,229,889	74,341

NOTE: PDS is the responsibility of the Town of XXXX



Capped Funds:

- **Identify Applicable Capped Funds on the Project**
- **Designate Dedicated Column in the Recap for Each Capped Funds**
- **May Need Dedicated Sections in the Estimate**
- **May Need to Adjust Unit Prices**
- **May Need to Know the Order of Using Capped Funds**

Funding Sources:

- **Identify Applicable Funding Sources for the Project**
- **Designate Dedicated Columns in the Recap for Each Type of Funds**
- **Need Dedicated Sections in the Estimate for Each Type of Funds**
- **Need to Know the Order of Using Each Type of Funds**
- **May Need to Adjust Unit Prices (?)**

Understanding Strategies:

- **Understanding the Overall Project**
- **Develop Reliable Detailed Estimate**
- **Identify Applicable Funding Sources**
- **Identify Applicable Capped Funds**
- **Identify Ineligible Item of Work**
- **Know the Item of Work – Does it Need to be Tracked**
- **Know the Order of Funds to Use**
- **Update the Decision Makers in a Timely Manner**

Understanding Strategies:

Are you lonely?

Hate having to make decisions?

Rather talk about it than do it?

Then why not

HOLD A MEETING

Feel important

Sleep in peace

Offload decisions

Get to see other people

Impress (or bore) your colleagues

Learn to write volumes of meaningless notes

And all in work time



‘MEETINGS’

The Practical Alternative to Work

http://www.cvr-it.com/images/Hold_a_Meeting.gif

Challenges :

- **Lack of Understanding the Overall Project**
- **Poor/Unreliable Detailed Estimate**
- **Lack of Information of Applicable Funding Sources**
- **Lack of Information of Capped Funds**
- **Failing to Identify Ineligible Items of Work**
- **Failing to Know the Items of Work Need to be Tracked**
- **Failing to Know the Order of Funds to Use**
- **Failing to Update the Decision Makers in a Timely Manner**

Challenges :



<https://www.thinktankconsulting.ca/taxonomy/term/166>

ADOT Experience with Indefinite Delivery Indefinite Quantity (IDIQ) contracts:

- **The Low Cost Contract, Task Order may not Exceed \$2M/Year on Average**
- **Shall be Award by Competitive Bidding**
- **A Short Term 2 Year Base Contract, Extensions Generally will not be Allowed. In some situations, with FHWA approval may be Extended, with Extension the Contract Period shall not Exceed 5 Years.**

ADOT Experience with Indefinite Delivery Indefinite Quantity (IDIQ) contracts:

- **The Contract will be Single Award-Task Order Contract (For Multiple Awards-Task Order Contracts will require SEP-14 Approval)**
- **The Contracts shall be in Compliance with all Federal Requirements (Such as Title 23, NEPA, DBE Provisions..)**

ADOT Experience (IDIQ) contracts:

- **“Construction of ADA Compliant Ramps” in SW District is the First ADOT IQIQ project is still under Construction.**
- **The program amount was \$1.16M. Only one bid was submitted and the bid was 12.7% below the State Estimate.**
- **There is an option in the Contract to issue Task Order assignments which shall be a minimum of \$0.25M and will not exceed \$2.0M/Year on average over the contract period. The Contract may be extended to a maximum of 3 years.**

CA_TRAINING:

Module-4: Construction Authorization

Contracts and Specifications

Questions and Comments:

???



**Thank you for
Your time and Attention and
Stay Safe.**