

2022 5307_5339 Application Frequently Asked Questions

Application due date August 8, 2022 4:00 PM

Revised: August 5, 2022

Frequently Asked Questions

5339_5307 Application Webinar - Wednesday, July 13, 2022

Webinar Recording: <https://vimeo.com/730075355/fed3c178ac>

QUESTIONS and COMMENTS	RESPONSES
1. When does ADOT anticipate 5339 funding awarded during this process being available to applicants?	ADOT anticipates issuing preliminary awards in late September 2022 with funding being available to awardees in late January 2023
2. Does the review panel consider agencies who guarantee a local match above 20% more favorable?	No, As long as the applicant is able to guarantee they will have the 20% required local match nothing more is required. Exceeding 20% local match does not increase favorability
3. Is a google map ok or design layout map acceptable?	The map you provide must clearly show the exact location of the proposed site. If there are any known challenges with the site selected those should be addressed in the application question.
4. Does a bus shelter trigger facility requirements including NEPA?	Yes, All FTA activities trigger NEPA
5. Does ADOT have a form applicants can fill out for verification of local match funds?	No, Written documentation on agency letterhead signed by your designated signatory is acceptable
6. Can Tribal entities leave 5339 funding with ADOT?	Yes, however only if they have an active grant agreement with ADOT and are a current ADOT subrecipient. Tribes who go FTA direct must transfer their 5339 funding as well
7. Where is the link to the NEPA training?	https://ce-catalog.rutgers.edu/courseDisplay.cfm?schID=80073
8. Can 5311 subrecipients use 5339 funding to purchase route scheduling software?	Yes, however 5339 funding is for one time capital purchases, software purchases may require annual maintenance fees and

	updates which would not be covered by the 5339 funding
9. It was my understanding that NEPA is not required for replacement bus shelters because they are being installed at a current shelter location on an existing concrete pad and no ground disturbance or environmental impact will be sustained?	NEPA compliance is required on all projects funded with Federal dollars. NEPA is the primary law governing the environmental review process for all federal agencies. NEPA establishes a decision making process that agencies must follow to study the environmental impacts of the proposed projects, both large and small. An environmental determination is required for all projects funded by FTA. Before ADOT can apply to FTA for funding we must complete an environmental review for the project following NEPA and identify in our application the environmental designation of the project. Applicants for ADOT funding must provide sufficient information in the application for ADOT to determine the environmental impacts of the project or the application must provide completed and approved NEPA documents. Please see guidance from FTA on the ADOT webpage beneath this document
10. Do we need an RFP to purchase vehicles with 5307/5339 funding	No, you would provide in your application a copy of the vehicle build sheet. Once notified by ADOT you will then submit a local match and ADOT will proceed with procuring the vehicle. For ADOT 5311 Subrecipients, ADOT will procure all vehicles awarded with 5339 funding. For FTA 5307 Direct Recipients, you may be eligible to purchase your vehicles under the ADOT contract. If you decide to procure on your own you will need to follow all FTA requirements for procurement.
11. Does the "state of good repair only apply to vehicles?	State of Good Repair is a requirement for all FTA assets. The application focuses on vehicles but we would appreciate information as to how your project will

	maintain a state of good repair for all assets.
12. Since we intend to request vehicles in this application that would be procured off of the state contract, would we only need to supply the local match component like we do when purchasing a vehicle with 5311 funds or would we need to be prepared to pay for the entire amount for the vehicle and seek reimbursement?	Your agency would pay the required local match of 20%. If your agency was given permission by ADOT to purchase the vehicle yourself then you would pay the full amount and seek reimbursement through the egrants system.
13. Which year do we use for performance data	You would use the most recent year of information you have available
14. Can we put five cutaways for our fixed route and the ADA vehicle together or do those have to be two projects?	You can combine up to three vehicles that are the same make, model, and size into one project. If they are not the same make model and size they would be considered a separate project
15. Do we need a map for the vehicle project	No, for vehicles you need to provide a recent build sheet showing the features of the vehicle and cost
16. On the program information page, who should be listed as the Direct Recipient Contact information	Your agency and your designated transit official's information should be listed here.
17. Do you know the last triennial review or state site visit date? Any outstanding findings?	Triennial Reviews are conducted by FTA for 5307 Direct Recipients. ADOT does not participate in 5307 agencies' triennial reviews. If you are a 5311 Subrecipient you should be able to locate the date and final reports in your agency files. Many times the state site visits are labeled "monitoring visits". If you cannot locate the date or final report in your files, your program should be able to provide this information. All 5307 and 5311 agencies should know the date of your last review and your agency's status.
18. We are applying for the 5339 grant application for a security fence, and a metal parking structure (enclosed on 3 sides) –The project is taking place in a previously developed space, so we may not need any NEPA studies. In attending your 5339 Grant Presentation I had a note that the NEPA studies must be completed on facility projects before we could proceed.	No, NEPA applies to all projects using federal funding, including previously developed areas

<p>Are there certain capital items that a NEPA study wouldn't apply to?</p>	
<p>19. Program information - who should be listed at Direct Recipient Contact Information?</p>	<p>This should be your Agency information and the Transit Managers information.</p>
<p>20. Are we able to request up to 7 buses?</p>	<p>.Each project can include up to three vehicles, if you are purchasing vehicles of exact same make, model and size three can be combined for one project</p>
<p>21. Do we apply for a planning study in 5311 or 5339 grant</p>	<p>5339 funding is for Bus and Bus Facilities and planning is not an eligible activity under the 5339 program. You would apply for planning funds through the Transit Planning Grant. This Grant typically opens in late fall. We anticipate the NOFA being issued for this funding in approximately November/early December 2022. Agencies can partner with a COG/MPO to apply for funding. Agencies can also apply for planning funds in next years 5311 application cycle</p>
<p>22. We are applying for new buses to replace old buses, do the new buses have to be the same size as the old buses</p>	<p>Yes, The new buses should have the same seating capacity as the old buses</p>
<p>23. Where can I find Categorical Exclusions</p>	<p>We are including a page that discusses Categorical excursions underneath this FAQ on the ADOT page titled -Guidance for Implementation of FTA's Categorical Exclusions (23 CFR 771.118)</p>
<p>24. Can I combine a replacement and expansion vehicles into one project</p>	<p>Yes, if you are using the same make, model, and size vehicle build sheet</p>
<p>25. On the supporting documents page of the application question 1 requires a date for a triennial review or state visit, however I haven't had one yet, what do I put here</p>	<p>A date is required for this field, please put the future date you anticipate this review or visit occurring</p>
<p>26. On the project readiness page What date do we use for RFP issued,contract awarded and project completion date?</p>	<p>Milestones are required to be identified in the application regardless of the type of procurement used. When procurements do not require an RFP, the RFP date in the milestone section could be the same as the contract award or the quote date. If using the state vehicle contract, please let us know in the application. A new state vehicle contract is being issued with</p>

	the RFP date of February 1, 2021 and a contract award date of May 1, 2021. The contract completion date should align to the date of your anticipated vehicle delivery.
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