## 2022Arizona Transportation Partnering Excellence Award



# Application Due: January 31, 2023







Maricopa County

Department of Transportation











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## **2022 ARIZONA TRANSPORTATION PARTNERING EXCELLENCE AWARD**

## PURPOSE

The Arizona Transportation Partnering Excellence Awards competition is designed to recognize partnership teams that demonstrate a high degree of achievement through their practice of the partnering principles and application of the Partnering processes related to the transportation industry.

## BACKGROUND

Partnering has been used in Arizona since 1991 and partnering excellence has been recognized by the Associated General Contractors since 1993. In 2005, the Partnering Advisory Committee formed a partnership to develop the Arizona Transportation Partnering Excellence Award criteria, materials and selection process. This partnership is made up of Arizona stakeholder groups who use and encourage the use of partnering.

A creative and innovative "edge" is critical to business success. Collaborative teamwork that achieves measurable results through agreements and productive working relationships is more important today than ever before. Today, resources and time are critical to completing a project on schedule and within budget. Successful partnerships provide the potential to increase productivity by developing and implementing creative solutions.

## **PARTNERING DEFINITION**

Partnering is defined as "a process of collaborative teamwork to achieve measurable results through agreements and productive working relationships."

Tangible deliverables of a partnering process may include a partnering charter, agreement or purpose document; an issue resolution/decision-making process; action plans; evaluation methods; meeting follow-up and reporting.

## **BENEFITS**

In addition to being honored, winners will:

- Receive recognition from several industry associations.
- Be recognized as a leader in partnering excellence.
- Receive feedback regarding strengths and opportunities that will drive improved effectiveness.
- Have the opportunity to network with peers in the industry committed to partnering excellence.
- Know that they have contributed to the continuous improvement of partnering as a business process.



## **2022 AZ TRANSPORTATION PARTNERING EXCELLENCE AWARD CATEGORIES**

The following categories of partnerships must have demonstrated participation in a partnering process related to the transportation industry — the movement of people and goods within the State of Arizona. There may be multiple awards for each category. An organization can apply in more than one category, and for more than one project in each category. (See Eligibility Requirements)

#### CONSTRUCTION

**Roadway (Under \$5M; \$5M to \$25M; Over \$25M)** – The project included one or more of the following: highways/state routes, freeways, roads and streets - including reconstruction and expansion or widening of existing facilities, bridges, interchanges, overpasses, or road tunnels.

**Other Transportation Infrastructure (Under \$5M; \$5M to \$25M; Over \$25M)** – The project contains elements that enhance the transportation system(s) such as: airport, bus/transit, drainage/flood control system, rest areas, tunnels (other than roadway), ports of entry, rail, Intelligent Transportation System (ITS), non-recreational multi-use paths (part of an overall system) or pedestrian bridges.

#### **NON-CONSTRUCTION**

**Planning/Development/Design** - The project can include planning studies; scoping, design and environmental documents; Tier I study; plans complete to the percent stage required in contract.

**General Partnerships** - Multiple organizations using the elements of partnering processes to achieve positive results related to a work environment that supports the transportation industry. Examples include public and agency partnerships among and between DOTs such as:

- Local, state and federal agencies.
- Subcommittees and/or work groups under the partnership.
- Counties, tribes.
- Non-government stakeholders such as utilities or private organizations.
- Members and work units of the same organization, internal or external.

### **ELIGIBILITY REQUIREMENTS**

- The Partnership must be transportation related.
- An organization may submit multiple award submittals; one project per submittal.

#### CONSTRUCTION PROJECTS

- Substantially complete and ready for use by December 31, 2022.
- Previously submitted construction projects are not eligible.
- Construction Manager at Risk, Design Build and Job Order Contracting are eligible under the Construction category only.

#### PLANNING/DEVELOPMENT/DESIGN

Documents and plans completed by December 31, 2022 to the percent stage required by contract.

#### **GENERAL PARTNERSHIPS**

Partnerships with substantially completed goal(s)/outcome(s) finished by December 31, 2022 are eligible to apply.

#### Subcommittees of a General Partnership are eligible to apply if all requirements are met.

## AWARD PROGRAM GUIDELINES

#### AWARD PROCESS

The Arizona Transportation Partnering Excellence Award process is led by a committee of volunteers who exemplify excellence in the transportation industry. They oversee the award process, which includes the establishment of a high standard of partnering performance and the categories of projects eligible to receive the award.

Submittal requirements are clearly outlined every year. Judges are selected for their in-depth expertise in the transportation industry and recognized leadership in building partnerships. Judges will recuse themselves from projects that they or their organization have been involved in. Judging criteria is established to support high levels of excellence over the life of the award.

Feedback to award applicants who did not meet the criteria for achieving an award is provided through both review of the written submittal and discussion among the experienced partnering judges. Feedback will be provided to the applicants when finalists are announced.

The award recipients are recognized at The Roads and Streets conference, Nov. 1-3, 2023 in Tucson, Arizona. The steps of the process include:

- 1. Review submittals for eligibility and adherence to the guidelines.
- 2. Judges' review of written award submittals against the criteria.
- **3.** Selection of finalists for the award.
- 4. Verification/clarification of recipient information and results.
- 5. Judges' final recommendation for award recipients.
- 6. Award recipient notification.

#### APPLICATION DEADLINES AND SUBMITTAL INFORMATION

- Send all award submittals in pdf format to: <u>JVoight@azdot.gov</u>.
- Send entry fee of \$350 to: Az Transportation Excellence Awards, c/o AMCA, Attnc: Cecilia Perez, 1402 S. Central Ave., Suite 15, Phoenix, AZ 85004. Make checks payable to: American Minority Contractors of Arizona and "AZ Transportation Partnering Excellence Awards" written in the memo. A copy of the application form is sent with the check. For credit card payments, contact Cecilia Perez at (602) 495-0026. The entry fee includes one award to be presented at the Roads & Streets Conference.
- Applications and payment must be received before 4:00 p.m. MST, January 31, 2023
- Notification to award recipients by April 15, 2023
- Contact for questions: Julia Voight, ADOT | 602.653.5434 | <u>JVoight@azdot.gov</u>

## AWARD SUBMITTAL APPLICATION INSTRUCTIONS

Maximum page count for applications is 20 pages in pdf format with a **maximum size of 10 MB**. **No hard copies will be accepted.** 

#### AWARD SUBMITTAL MUST BE IN THE FOLLOWING ORDER:

- 1. Cover page identifying the name of the project and/or partnership.
- 2. Completed Application Form (found on the last page of this package).
- **3.** Executive Summary with a brief description of the project and why the partnership should receive recognition.
- **4.** Response to Judges' Criteria for applicable award category. This section should include a copy of the partnership's agreement and applicable goals, milestones and/or measurement information.
- 5. Attachments and exhibits as needed, such as press clippings, related special-event material, photos and glossary of terms.

#### PAGE PARAMETERS:

A page is defined as an 8½ x 11-inch, blank or printed. All pages are counted from beginning to end, to arrive at the maximum 20-page limit. All pages including covers, table of contents, tables, figures, photographs, divider sheets, maps, etc. are counted as pages and are included in the 20-page limit.

Failure to follow the page limit specified will result in rejection of the submittal.

#### **PRINT AND FONT SIZE:**

A 12-point or larger font size is required for the body of the award submittal. The use of standard basic fonts is highly recommended to avoid any formatting issues, which could result in an increase in the page numbers after the award submittal is received. The goal is to make the document clear and legible. Proposal scores will be adversely affected if an award submittal is not legible to read when printed by the judges.

#### VIDEO OR MULTIMEDIA APPLICATIONS:

No video clips or other multimedia applications are allowed. Failure to adhere to the guidelines will result in rejection of the award submittal.

## HOW WINNERS ARE SELECTED

Arizona Transportation Partnering Excellence Award recipients will be selected based upon the judges' criteria. Once the applications are reviewed for eligibility and adherence to the guidelines, those meeting the requirements will move forward to be examined against the criteria. Those meeting the minimum standard for recognition will then be reviewed by the judges and considered finalists for the award. This includes describing a well-developed partnership addressing all categories of the judges' criteria. It also includes providing measurable results that positively changed the direction of the team or substantially enhanced the outcome of the project.

Judges will look carefully at the responses given against the established criteria and not the sophistication of the package submitted. In particular, judges will be looking for how the team used partnering tools and principles in exemplary ways that are commensurate with receiving an award of excellence. The judges will then determine which partnerships will be recognized.

**Following the principles of partnering alone does not justify an award of excellence.** Teams must be able to describe and provide examples of how the team actually used these deliverables to work together and solve problems throughout the project.

If no applicants in any one category meet the minimum standards set, no award for that category will be bestowed in that year.

## JUDGES' CATEGORIES AND CRITERIA

#### Provide and elaborate examples of the following:

#### **CONSTRUCTION CATEGORIES:**

#### **1. Project Team Partnering Process (15 points)**

Tell the story of the partnership's beginning including responses to the questions below:

- Describe the project/team's informal partnering process or formal partnering charter/agreement. How was it used to initiate and support the partnership?
- What were the primary goals for the project? How were team members made aware of these goals and encouraged to reach them?
- What process, tools, or feedback methods were developed to evaluate the partnership during the life of the project?

#### 2. Goals/Outcomes (20 points)

Tell the story of how the partnership effectively met challenges and turned goals into achieved outcomes and project success.

- How were the goals for this project measured, evaluated, and used?
- Provide specific examples of challenges and difficulties where the partnership worked together to achieve a successful outcome.
- How did the team's goals benefit the partnership or the outcome of the project? Provide examples.
- Are there specific people, actions, or situations that can be highlighted as exemplary partnering outcomes?

#### 3. Issue Resolution and Decision-Making Process Effectiveness (20 points)

Issue Resolution and Decision-Making Process Effectiveness (20 points)

Provide descriptions of your specific issue resolution process and decision-making examples used for this project.

- Give examples of your clear issue resolution and/or a decision-making process that allowed the team to address issues in a proactive, timely and effective manner? Explain how the process supported the team in achieving successful outcomes.
- Provide examples of how the issue resolution and decision-making process empowered employees to make decisions.
- Once an issue(s) was resolved, how was it communicated back to the team with team members in full support of the resolution? Provide examples.

#### 4. Teamwork (20 points)

Describe how the group developed to work together as a team.

- Describe the project's primary team, their roles and responsibilities.
- How did the team work together throughout the project?
- How did team members develop relationships? Describe "trust-building" moments.
- How were specific people, teams, or successes acknowledged and celebrated? Provide examples.

#### 5. Value/Outcomes (25 points)

Describe how partnering principles helped the team reach project goals and the lessons learned from the experience. Cite any exemplary or creative practices that had a positive impact on the partnership.

- Describe how partnering helped the team deliver a successful project.
- How did evaluation tools assist the project in meeting its goals?
- What were the lessons learned from the project? What would be done differently or the same on the next project to help improve partnering/partnerships?
- Provide specific exhibits or examples to include that document areas of success (PEP/evaluation scores, schedules, data, etc.)?

## JUDGES' CATEGORIES AND CRITERIA

Provide and elaborate examples of the following:

## **NON-CONSTRUCTION CATEGORIES:**

#### 1. What was the purpose of the partnership (10 points)

Tell the story of the partnership's beginning and the agreement that defined the team's common mission, goals, guidelines and key understanding.

- Explain why and/or how the partnership was formed and overall purpose/mission/vision.
- What was the team's expectation for successful outcomes?
- How did the vision or purpose statement support and lead to successful outcomes?

#### 2. Who and what contributed to the success? (20 points)

Describe how the group developed into a strong team. Provide any noteworthy tangible or intangible examples.

- How were relationships developed, strengthened and maintained for this partnership? Provide examples.
- What actions did this team employ to foster collaboration? Provide examples.
- What characteristics of this team contributed to the overall success?
- What were the trust-building moments for this team? Provide examples.

#### 3. What tools were used to lead the team to success? (20 points)

Describe the processes and tools that led the team to success

- How did the team agree to the process and/or tools that were used to address issues and challenges?
- Describe the decision-making process.
- Describe how specific issues and challenges were effectively resolved and addressed leading to successful outcomes.

#### 4. What did the team accomplish? (50 points)

Tell the story of how the team turned a goal/project into a successful outcome using partnering principles. Provide any noteworthy tangible or intangible examples.

- What makes your project/team worthy of a partnering award?
- What challenges did the team overcome together?
- How did the team stay focused on the end result?
- How did the team measure progress toward goal/project success?
- What value/benefit did the Partnership bring to the involved parties/organizations?
- What were the lessons learned?

## **APPLICATION FORM**

Partnership, Project Title:	
Check only one category below.	
COI	NSTRUCTION CATEGORY
Roadway (Under \$5 Million)     Roadway (\$5 Million to \$25 Million)	Other Transportation Infrastructure (Under \$5 Million)     Other Transportation Infrastructure (\$5 Million to \$25 Million)
<b>Roadway</b> (Over \$25 Million)	<b>Other Transportation Infrastructure</b> (Over \$25 Million)
	CONSTRUCTION CATEGORY
Development/Design	General Partnerships
	_
Development/Design	_
Development/Design     Organization Nomination Submitted by:	General Partnerships
Development/Design     Organization Nomination Submitted by:     Title:	General Partnerships
Development/Design Organization Nomination Submitted by: Title: Phone:	General Partnerships Name: Email:
Development/Design Organization Nomination Submitted by: Title: Phone: Date:	General Partnerships Name: Email: Signature:
Development/Design Organization Nomination Submitted by: Title: Phone: Date: Owner Organization:	General Partnerships Name: Email:

Date: Partnering Agreement Signed:\_\_\_\_\_\_ Substantial Completion: \_\_\_\_\_

#### PAYMENT AND DEADLINE INFORMATION

Deadline: January 31, 2023 at 4:00 p.m. MST in pdf format to <u>JVoight@azdot.gov</u>. Late entries will not be accepted.

**Send entry fee of \$350 to:** Az Transportation Excellence Awards, c/o AMCA, Attnc: Cecilia Perez, 1402 S. Central Ave., Suite 15, Phoenix, AZ 85004. Make checks payable to: American Minority Contractors of Arizona and "AZ Transportation Partnering Excellence Awards" written in the memo. A copy of the application form is sent with the check. For credit card payments, contact Cecilia Perez at (602) 495-0026. The entry fee includes one award to be presented at the Roads & Streets Conference.

Upon notification of winning an award, the nominator will be contacted to provide award engraving information and to provide the total number of additional/duplicate awards they would like to order.

All materials become the property of the Arizona Transportation Partnering Excellence Award Committee and may be used in education, marketing and promotion for the awards program.

Awards will be presented at the Roads & Streets Conference.